



ベアリングスの概要

2022年8月

ベアリングス・ジャパン株式会社

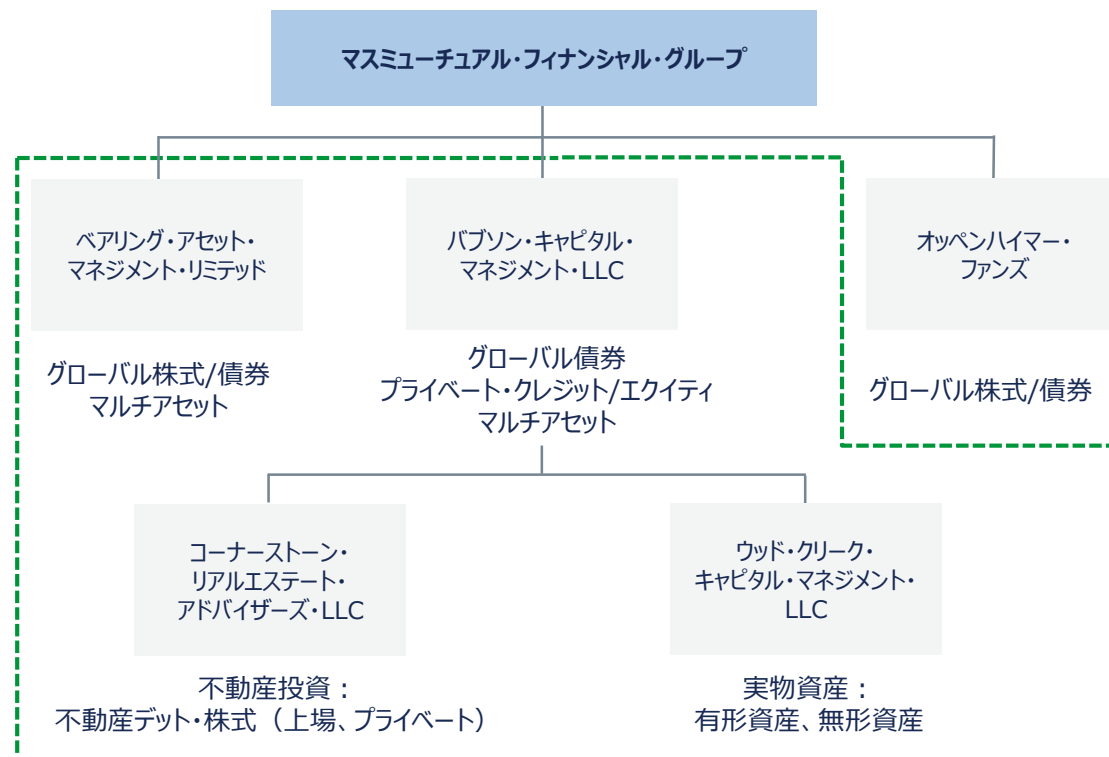
金融商品取引業者：関東財務局長（金商）第396号

加入協会：一般社団法人日本投資顧問業協会

一般社団法人投資信託協会

ベアリングスとは

- 3,497億米ドル超の運用資産を擁するグローバルな資産運用会社
- 債券、株式、マルチアセットおよびオルタナティブ投資に関する専門知識をご提供
- お客様の多様なニーズを理解し、それに見合う革新的な投資ソリューションを提供することにより、お客様との強固なパートナーシップを確立
- シャーロット、シカゴ、シンガポール、ロンドン、東京、シドニー等に主要運用拠点を置き、グローバルなプレゼンスを維持



BARINGS

3,497億米ドル超の運用資産

ベアリングスとは

- ・ **グローバルに運用を手掛ける資産運用会社**であり、差別化された投資機会をご提供するとともに、パブリックおよびプライベート債券、不動産ならびにスペシャリスト株式市場に亘るポートフォリオを構築します。
- ・ 親会社である**マスマチュアル**のサポートのもと、安定した財務体質および長期的な事業アプローチを実践する柔軟性を有しています。
- ・ **グローバルに配置された各拠点**を通じてもたらされる幅広い視点により、北米、欧州およびアジア・パシフィックに投資を行うお客様との真のパートナーシップ構築が可能になると考えています。
- ・ お客様、地域コミュニティおよび社員への貢献をその目的とし、**持続可能な慣行**および**責任ある投資**に専心します。



3,497億米ドル超

運用資産残高

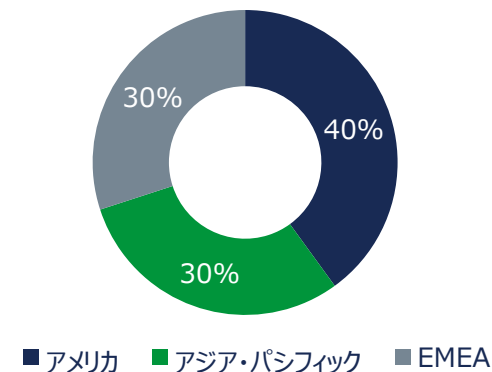
1,200超

外部顧客数

1,800名超

グローバルに配置されているプロフェッショナル数

運用資産残高の顧客所属地域別内訳¹



1. サードパーティおよび外部顧客の運用資産残高のみ
2022年6月末現在、米ドルベース、四捨五入のため合計が100とならない場合があります

ヘアリングスの投資領域

ヘアリングスは、パブリックおよびプライベート市場における**深く広範囲に亘る専門性**を活用し、お客様の進化する投資ニーズの達成を支援

パブリック

債券¹

先進国および新興国市場において、投資適格債券からハイイールド債券に至る戦略へのアクセスを提供

投資適格

1,044億米ドル

ハイイールド債券及びバンクローン³

496億米ドル

ストラクチャード・クレジット

232億米ドル

グローバル・ソブリン債券 & カレンシー

138億米ドル

株式 & マルチアセット²

ファンダメンタル分析、確信度およびアクティブ・シェアの高いソリューションを通じ、優れたリスク調整後リターンを提供することを目的とする

グローバル株式およびインターナショナル株式

29億米ドル

エマージング株式

67億米ドル

小型株式

23億米ドル

マルチアセット

23億米ドル

キャピタル・ソリューションズ⁴

8億米ドル

プライベート

プライベート・クレジット

幅広い業界ネットワークとの協業により、プライベート・デットに係る多様なファイナンス・ソリューションを提供

グローバル・プライベート・ファイナンス

358億米ドル

インフラストラクチャー & 私募債

408億米ドル

不動産⁵

プライベートの不動産デットおよびエクイティに亘る広範なソリューションを提供

不動産デット⁶

278億米ドル

不動産エクイティ

173億米ドル

プライベート・エクイティ⁵

独自のリスク調整後リターンの獲得機会を特定するために、グローバル・プレゼンスを活用

ダイレクト・プライベート・エクイティ

27億米ドル

ディバーシファイド・オルタナティブ・エクイティ

55億米ドル

マルチストラテジー

幅広い資産市場のカバレッジを活用し、インカムや目標収益、絶対利益などのソリューションを提供

1. 運用資産残高合計14億米ドルの韓国債券戦略を除く
2. 運用資産残高78億米ドルの韓国株式戦略および1億米ドルのその他株式を除く
3. 運用資産残高44億米ドルのエマージング社債戦略を含む
4. キャピタル・ソリューション専用アカウントのみ、他のクロス・プラットフォーム・ポートフォリオの運用資産残高は除く
5. 見込みベースの運用資産残高
6. 関連する債券ポートフォリオの一部に投資される不動産デットを含む

2022年6月末現在、米ドルベース

サステナビリティへの取り組み

継続的かつ長期的な変化の実現のため、方向性やパートナーシップ、意思決定を慎重に行います



ESGの統合

環境・社会・ガバナンス（ESG）に関する情報を、投資チームおよび各部署における分析および意思決定に統合します。



スチュワードシップ

投資先企業に対して行う**アクティブなスチュワードシップ活動**は、ベアリングスの特徴であるアクティブな投資アプローチと密接に関連しています。投資先企業の変化を促進する最善の方法は、その変化に対して報いることだと考えています。



企業の社会的責任

ESGは、ベアリングスの企業責任プログラムを通じて**事業活動**に組み込まれます。ベアリングスの社会貢献プログラムであるベアリングス・ソーシャル・インパクトならびに事業全体におけるダイバーシティ、エクイティおよびインクルージョンへの取り組みも含まれます。

ベアリングスのサステナビリティ・ガバナンス、リソースおよびパートナーシップ



ガバナンス

ベアリングスの**サステナビリティ委員会**は、シニア・ビジネス・リーダーにより構成され、サステナビリティ戦略の実行を支援することを任務としています。

ベアリングスのサステナビリティ・ワーキング・グループは、長期的な戦略プロジェクトに焦点を当て、定期的に会合を開き、サステナビリティ委員会に対して報告を行います。



専任チーム

サステナビリティおよびESGに関する戦略およびポリシーについて、**専任チーム**がその策定および実施を支援します。また、必要となるパートナーシップの構築、並びに関連するリサーチ、トレーニングおよびレポートの開発および提供をサポートします。



インダストリー・パートナーシップ

ベアリングスは、**責任投資原則**に署名し、**国連グローバル・コンパクト**および**Climate Action 100+**のメンバーであり、**気候関連財務情報開示タスクフォースの賛同企業**です。ベアリングスは、これらの業界団体のミッション推進のために活動します。

米国ノースカロライナ州シャーロットの本社では**LEEDゴールド認証**、ロンドン拠点では**BREEAMエクセレント・レーティング**、上海拠点では**LEEDゴールド認証**を獲得しました。

ダイバーシティ、エクイティ&インクルージョンに対するコミットメント

ミッション

誰もが成功可能な**公平かつ多様性に対して受容的な**環境を育む

哲学	ベアリングスのアプローチ	企業文化
社員ひとりひとりの多様な経歴、経験および観点がベアリングスの投資および企業文化創造へのアプローチに対し影響力を有しています。	各地域のニーズに即してカスタマイズされた、成果およびインパクトを重視するグローバル戦略を推進。リーダーが手本を示し、マネジャーがロールモデルとなり、全社員が当事者意識を有します。	社員の信頼、帰属意識および心理的安全性を促進すべく、誠実な交流および率直な意見交換を旨とする、尊敬および誠実性を重んじる企業文化を維持します。
人材	コミュニティに対するインパクト	パートナーシップ
社員によって構成された6つのグループは、戦略全体の推進およびコミュニティの構築を支援します。その際、企業文化に関する社内調査および各グループを活用して定性データを収集し、定期的に戦略全体の効果を評価します。	ベアリングス・ソーシャル・インパクトおよび他のチームと連携し、多様なコミュニティに対してより大きなインパクトを与えます。	労働市場における人材の獲得機会およびそれと比較した際のベアリングスにおける人材の多様性に関するギャップを評価し、ジュニアからシニア社員に亘り、多様な人材へのアクセス拡充のために関連組織と積極的に連携します。

ベアリングス・ジャパン株式会社の概要

■ 経営理念

- お客様への最善の利益のご提供
- 社会への貢献
- 社員の専門性と倫理観の向上

■ 代表取締役社長：華 文傑

■ 資本金：2億5千万円

■ 役職員数：36名（非常勤役員、派遣・契約社員等を含む）

■ 主要株主：ベアリング・アセット・マネジメント（アジア）・ホールディングス・リミテッド（100%）

■ 母体企業：ベアリングスLLC（米国）

■ 日本における運用受託資産残高¹：25,232億円

（内訳）

投資信託 ²	1,048億円	
投資一任契約（年金等） ³	15,617億円	
うち年金顧客	3,185億円	（31件）
投資助言契約	4,970億円	
海外直接契約 ⁴	3,597億円	

- 2021年、ベアリングス・ジャパンはそらべあ基金の活動に協賛し、2021年登録サポーターとなりました。そらべあ基金は再生可能エネルギーの普及啓発と環境教育を行うNPO法人です。



1. 小数点以下第1位を四捨五入しているため、内訳の合計と一致しない場合があります。
2. 投資一任契約で投資信託へ投資している場合は、投資一任契約の運用受託資産として計上しています。
3. 投資一任契約には、ベアリングス・ジャパンがベアリングスの海外拠点にサービスを提供しているものが含まれます。
4. 海外直接契約は、日本のお客様がベアリングスの海外拠点と直接締結している運用委託契約を指します。

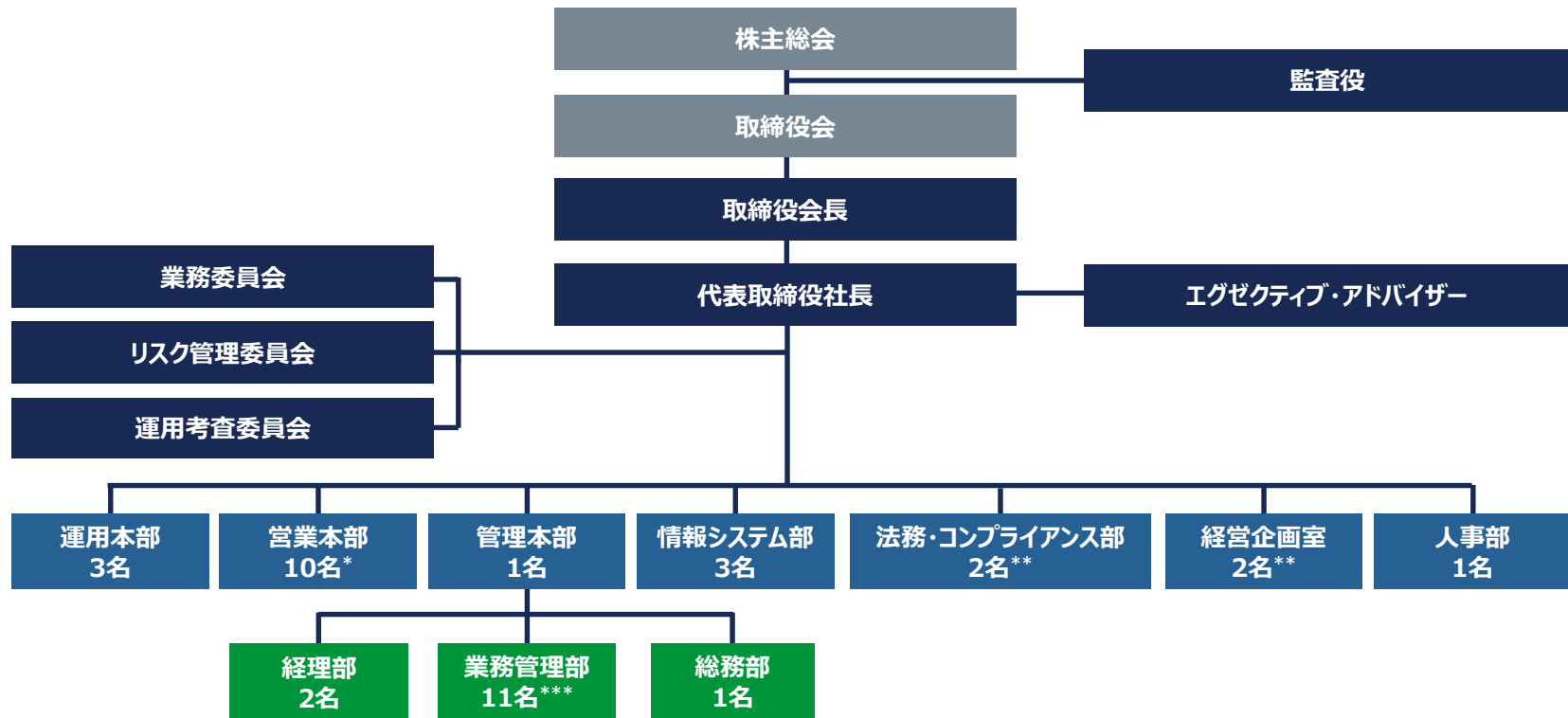
2022年6月末現在

会社沿革は経営統合における存続会社であるベアリング投信投資顧問（株）の沿革を記載

会社沿革

1982年 1月	東京駐在員事務所開設
1986年 1月	日本法人として発足
1987年 2月	関東財務局に投資顧問業者として登録
1987年 6月	投資一任契約業認可取得
1991年 9月	外資系投資顧問会社として日本で初めて国内年金（厚生年金基金）と契約
1995年11月	投資信託委託業認可取得
1996年 1月	国内投信を初設定
2007年 9月	投資運用業、投資助言・代理業の登録
2009年 6月	第二種金融商品取引業の登録
2016年9月	マスミューチュアル・フィナンシャル・グループ傘下のバブソン・キャピタル・マネジメント・LLC、ベアリング・アセット・マネジメント・リミテッド、コーナーストーン・リアルエステート・アドバイザーズ・LLC、ウッド・クリーク・キャピタル・マネジメント・LLC が経営統合し、新ベアリングスが誕生
2017年10月	新ベアリングスの日本法人 3 社、ベアリング投信投資顧問（株）、ベアリングス・アドバイザーズ（株）、Barings Real Estate Advisers Japan（株）が事業統合し、商号をベアリングス・ジャパン株式会社に変更

運用体制：組織図 <ベアリングス・ジャパン株式会社>



*営業本部長1名を含む

**法務・コンプライアンス部2名は経営企画室を兼務

***管理本部長1名を含む

2022年6月末現在

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Baring SICE (Taiwan) Limited, an independently operated business (Business license number: 2017 FSC-SICE- Xin- 002; Address: 21 F, No.333, Sec. 1 Keelung Road, Taipei 11012; Taiwan Contact telephone number: 0800 062 068); or

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