



BARINGS

2022

Company Engagement

ON ENVIRONMENTAL, SOCIAL
& GOVERNANCE ISSUES

last updated April 2023

Environmental

Issuer	Topic	Status
TBC Bank JSC	Climate Change	Closed: Successful
DP World PLC	Supply Chain: Environmental Transparency/Assessment/Controls	Closed: Unsuccessful
DP World PLC	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Unsuccessful
Shanghai Liangxin Electrical Co Ltd	Sustainability Policy	Ongoing
Bank of East Asia Ltd	Traceability of Key Inputs—Environmental	Closed: Unsuccessful
National Bank of Uzbekistan	Climate Change	Closed: Successful
JSC VTB Bank	Climate Change	Closed: Unsuccessful
JSC VTB Bank	Climate Change	Closed: Unsuccessful
State Transport Leasing Company (STLC)	Climate Change	Closed: Successful
State Transport Leasing Company (STLC)	Climate Change	Closed: Successful
Yes Bank Ltd	Climate Change	Closed: Unsuccessful
Power Finance Corporation Limited	Climate Change	Closed: Unsuccessful
Aluminum Corp of China Ltd	Air Quality	Ongoing
Impro Precision Industries Ltd	Environmental Management Policy	Closed: Successful
Rural Electrification Corp Ltd	Climate Change	Closed: Successful
Lic Housing Finance Ltd	Climate Change	Closed: Unsuccessful
Bajaj Finserv Limited	Climate Change	Closed: Unsuccessful
Shriram Transport Fin	Climate Change	Closed: Unsuccessful
IndusInd Bank Ltd	Climate Change	Closed: Unsuccessful
State Bank of India	Climate Change	Closed: Unsuccessful
Bank of Baroda	Climate Change	Closed: Unsuccessful
HDFC Bank Limited	Climate Change	Closed: Unsuccessful
Export—Import Bank of India	Climate Change	Closed: Unsuccessful
India Infoline Finance Limited	Climate Change	Closed: Successful
Muthoot	Climate Change	Closed: Unsuccessful
Axis Bank Ltd	Climate Change	Closed: Successful
Banco Daycoval SA	Climate Change	Closed: Unsuccessful
Banco do Brasil S.A.	Climate Change	Closed: Unsuccessful
Itau Unibanco Holding S.A.	Climate Change	Closed: Unsuccessful
Bank Hapoalim BM	Climate Change	Closed: Successful
Hyundai Chemical Co Ltd	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Anglo American PLC	Sustainability Policy	Ongoing
LG International Corp	Ecosystems (Biodiversity;Wildlife; Habitats; Protected Areas; A-/De-/R-eforestation)	Ongoing
JSW Energy Ltd	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
JBS USA Lux S.A.	Supply Chain: Environmental Transparency/Assessment/Controls	Ongoing
Asurion LLC	Energy Consumption (incl. Supply Chain)	Ongoing
JBS S.A.	Backward Integration (Protection of Key Inputs)—Environmental	Closed: Unsuccessful
Country Garden Services Holdings Co Ltd	Sustainability Policy	Closed: Successful
Gruma, S.A.B. de C.V.	Water & Wastewater Management	Closed: Successful
Global Net Lease, Inc.	Climate Change	Ongoing
Tupy S.A.	Energy Mix: Fossil Fuels/Renewable Energy	Ongoing
Mowi ASA	Ecological Impacts	Closed: Unsuccessful
NIO Inc. (fka NextEV Inc.)	Environmental Management Policy	Ongoing

Environmental Continued

Issuer	Topic	Status
Suzano SA	Climate Change	Discontinued
Klabin S.A.	Climate Change	Discontinued
Coloplast A/s	Supply Chain Management—Environmental	Ongoing
Entegris, Inc.	Water & Wastewater Management	Closed: Successful
Gruma, S.A.B. de C.V.	Sustainability Policy	Closed: Successful
PhosAgro PJSC	Resource Usage (Withdrawal/Consumption/ Management of Water, Land, Natural Resources)	Ongoing
Celulosa Arauco y Constitucion S.A.	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
FMC Corp.	Waste & Hazardous Materials Management	Ongoing
Cameco Corp	Environmental Management Policy	Ongoing
AAK AB	Environmental Management Policy	Ongoing
Avolon Holdings Limited	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Avolon Holdings Limited	Energy Mix: Fossil Fuels/Renewable Energy	Ongoing
Archer-Daniels-Midland Company	Supply Chain Management—Environmental	Closed: Successful
Wilmar International Ltd	Sustainability Policy	Ongoing
CF Industries Holdings, Inc.	Renewable Energy	Ongoing
China Resources Cement Holdings Ltd	Energy Management	Closed: Successful
China Resources Cement Holdings Ltd	Environmental Management Policy	Closed: Successful
Alfen Beheer BV	Environmental Management Policy	Closed: Successful
Ineos Group Holdings S.A.	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Successful
CAB	Waste Management & Recycling	Closed: Successful
CNOOC Ltd.	Energy Management	Ongoing
CNOOC Ltd.	Environmental Management Policy	Closed: Successful
JSW Steel	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Successful
Medco Energi International	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Successful
Saka Energi Indonesia PT	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Successful
Adani Ports and Special Economic Zone Limited	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Successful
Sasol Ltd.	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Successful
Grupo Esmalglass-itaca-Fritta	Circular Economy (incl. Product Design; Sustainability of Materials)	Closed: Successful
Sika AG	Carbon Scope 3	Ongoing
FirstEnergy Corp.	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
BSREP II Center Parcs Jersey 2 Limited	Resource Usage (Withdrawal/Consumption/ Management of Water, Land, Natural Resources)	Closed: Successful
PT Bank Central Asia Tbk	Sustainability Policy	Closed: Successful
Lam Research Corp.	Materials Sourcing & Efficiency	Ongoing
Lam Research Corp.	Backward Integration (Protection of Key Inputs)—Environmental	Ongoing
AMG Advanced Metallurgical Group N.V.	Environmental Management Policy	Closed: Successful
Los Angeles CA Wastewater System Revenue, City of	Pollution/Purity: Air; Water; Soil; Noise	Ongoing
Itau Unibanco Holding S.A.	Product Design & Lifecycle Management	Closed: Unsuccessful
Curium Bidco S.a.r.l	Waste Management & Recycling	Ongoing
Petkim Petrokimya Holding AS	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Schaeffler AG	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Successful
Schaeffler AG	Supply Chain: Environmental Transparency/Assessment/Controls	Closed: Successful

Environmental Continued

Issuer	Topic	Status
ZF Friedrichshafen AG	Circular Economy (incl. Product Design; Sustainability of Materials)	Closed: Successful
Tronox Ltd.	Energy Consumption (incl. Supply Chain)	Ongoing
Coronado Coal LLC	Climate Change	Ongoing
BASF (SE)	Environmental Management Policy	Ongoing
AMG Advanced Metallurgical Group N.V.	Water & Wastewater Management	Closed: Unsuccessful
Wilmar International Ltd	Ecological Impacts	Ongoing
Alfen Beheer BV	Environmental Management Policy	Closed: Successful
James Hardie Industries plc	Supply Chain Management—Environmental	Ongoing
Israel Electric Corp. Ltd.	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Unsuccessful
Aston Martin Capital Ltd.	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Successful
Grupo Mexico, S.A.B. de C.V.	Ecological Impacts	Ongoing
Ambipar Participacoes e Empreendimentos S/A	Environmental Management Policy	Ongoing
Moura Dubeux Engenharia S/A	Traceability of Key Inputs—Environmental	Closed: Successful
Rumo SA	Environmental Management Policy	Closed: Successful
UPL Ltd	Pollution/Purity: Air; Water; Soil; Noise	Closed: Successful
Aristocrat Leisure Ltd	Carbon Scope 1	Ongoing
Corteva, Inc.	Product Design & Lifecycle Management	Closed: Successful
Samsonite International S.A.	Materials Sourcing & Efficiency	Ongoing
KCA Deutag Alpha Ltd.	Resource Usage (Withdrawal/Consumption/ Management of Water, Land, Natural Resources)	Closed: Successful
China State Construction International Holdings Limited	Energy Management	Closed: Successful
China State Construction International Holdings Limited	Waste & Hazardous Materials Management	Closed: Successful
China State Construction International Holdings Limited	Water & Wastewater Management	Closed: Successful
China State Construction International Holdings Limited	Carbon Scope 1	Closed: Successful
Siemens Gamesa Renewable Energy, S.A.	Environmental Management Policy	Ongoing
AGCO Corporation	Environmental Management Policy	Closed: Successful
EP BCo S.A.	Energy Consumption (incl. Supply Chain)	Ongoing
Public Service Enterprise Group Inc.	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Successful
Mar Bidco S.a.r.l	Waste Management & Recycling	Closed: Successful
Mar Bidco S.a.r.l	Resource Usage (Withdrawal/Consumption/ Management of Water, Land, Natural Resources)	Closed: Successful
Mar Bidco S.a.r.l	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Public Service Enterprise Group Inc.	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Public Service Enterprise Group Inc.	Climate Change	Closed: Successful
Tata Steel Limited	Environmental Management Policy	Closed: Successful
PI Industries Ltd	Traceability of Key Inputs—Environmental	Closed: Successful
PI Industries Ltd	Waste & Hazardous Materials Management	Closed: Successful
PI Industries Ltd	Carbon Scope 2	Closed: Successful
PI Industries Ltd	Carbon Scope 1	Closed: Successful
Pagseguro Digital Ltd	Sustainability Policy	Closed: Successful
Alsea SAB de CV	Sustainability Policy	Closed: Successful
JSW Infrastructure Ltd	Climate Change	Closed: Successful

Environmental Continued

Issuer	Topic	Status
CRH plc	Environmental Management Policy	Ongoing
LOTTE Global Logistics Co., Ltd	Climate Change	Ongoing
Givaudan SA	Supply Chain Management—Environmental	Ongoing
Hoag Memorial Hospital	Climate Change	Closed: Successful
Suzano SA	Environmental Management Policy	Ongoing
Liberty Tire Services of Ohio, LLC	Waste Management & Recycling	Ongoing
Greenko Mauritius Ltd	Resource Usage (Withdrawal/Consumption/ Management of Water, Land, Natural Resources)	Closed: Successful
MISC Bhd	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Sempra Energy	Climate Change	Closed: Successful
Sempra Energy	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Costa Group Holdings Ltd	Environmental Management Policy	Ongoing
Jiumaojiu International Holdings Ltd	Sustainability Policy	Closed: Successful
Republic of Trinidad & Tobago	Climate Change	Ongoing
Republic of Trinidad & Tobago	Ecosystems (Biodiversity;Wildlife; Habitats; Protected Areas; A-/De-/R-eforestation)	Ongoing
Republic of Trinidad & Tobago	Energy Mix: Fossil Fuels/Renewable Energy	Ongoing
Impala Platinum Holdings Ltd	Carbon Scope 1	Ongoing
Senegal, Government of	Climate Change	Closed: Successful
Senegal, Government of	Ecosystems (Biodiversity;Wildlife; Habitats; Protected Areas; A-/De-/R-eforestation)	Closed: Successful
Senegal, Government of	Energy Consumption (incl. Supply Chain)	Closed: Successful
Bahia De Las Isletas, S.L.	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Kenya, Government of	Resource Usage (Withdrawal/Consumption/ Management of Water, Land, Natural Resources)	Ongoing
Piolin Bidco, S.A.U.	Ecosystems (Biodiversity;Wildlife; Habitats; Protected Areas; A-/De-/R-eforestation)	Ongoing
P/F BAKKAFROST	Ecological Impacts	Ongoing
Africa Finance Corp	Climate Change	Closed: Successful
Akbank TAS	Climate Change	Closed: Successful
Ipoteka Bank	Climate Change	Closed: Successful
Bank of Georgia Group PLC	Climate Change	Closed: Successful
National Bank of Greece S.A.	Climate Change	Closed: Successful
Axis Bank Ltd	Climate Change	Closed: Successful
First Resources Ltd	Environmental Management Policy	Ongoing
South Africa, Government of	Climate Change	Ongoing
AES Corporation (The)	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
AES Corporation (The)	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Successful
Darling Ingredients Inc.	Circular Economy (incl. Product Design; Sustainability of Materials)	Closed: Successful
Cranswick Plc	Environmental Management Policy	Ongoing
NiSource Inc.	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
NiSource Inc.	Pollution/Purity: Air; Water; Soil; Noise	Closed: Successful
NiSource Inc.	Greenhouse Gas Emissions (incl. Carbon Footprint)	Closed: Successful
Southern Company (The)	Supply Chain: Environmental Transparency/Assessment/Controls	Closed: Successful
Southern Company (The)	Climate Change	Closed: Successful
Southern Company (The)	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful

Environmental Continued

Issuer	Topic	Status
Eversource Energy	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Enel SpA	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Woodside Petroleum Ltd.	Environmental Management Policy	Ongoing
Woodside Petroleum Ltd.	Environmental Management Policy	Ongoing
PPL Corp.	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Amphora Finance Limited	Circular Economy (incl. Product Design; Sustainability of Materials)	Ongoing
Amphora Finance Limited	Resource Usage (Withdrawal/Consumption/ Management of Water, Land, Natural Resources)	Ongoing
Amphora Finance Limited	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Kia Motors Corp	Environmental Management Policy	Ongoing
Turkiye Garanti Bankasi AS	Climate Change	Closed: Successful
Turkiye IS Bankasi AS	Climate Change	Closed: Successful
Mizrahi Tefahot Bank Ltd	Climate Change	Closed: Successful
Turkiye Sinai Kalkinma Bankasi AS	Climate Change	Closed: Successful
TBC Bank Group Plc	Climate Change	Closed: Successful
P/F BAKKAFROST	Ecological Impacts	Ongoing
Cloudflare Inc	Renewable Energy	Ongoing
Covestro AG	Physical Impacts of Climate Change	Closed: Successful
Gerdau Trade Inc.	Circular Economy (incl. Product Design; Sustainability of Materials)	Ongoing
Sempra Energy	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Abu Dhabi Commercial Bank PJSC	Environmental Management Policy	Ongoing
Costa Group Holdings Ltd	Environmental Management Policy	Ongoing
JP Morgan Asset Management	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Republic of Indonesia	Climate Change	Ongoing
Republic of South Africa Government Bond	Climate Change	Ongoing
Vedanta Resources PLC	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
EnQuest PLC	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Casper Bidco SASU	Climate Change	Ongoing
Casper Bidco SASU	Climate Change	Ongoing
Verisure Midholding AB	Energy Consumption (incl. Supply Chain)	Closed: Successful
Southern Company (The)	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
WillScot Mobile Mini Holdings Corp	Carbon Scope 1	Ongoing
Albion Holdco Ltd	Circular Economy (incl. Product Design; Sustainability of Materials)	Ongoing
Duravant LLC	Energy Consumption (incl. Supply Chain)	Ongoing
Transelec SA	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Tencent Holdings Limited	Sustainability Policy	Closed: Successful
Amcor Plc	Traceability of Key Inputs—Environmental	Ongoing
Samsung Electro-Mechanics Co Ltd	Environmental Management Policy	Ongoing
Cullinan Holdco Scsp	Supply Chain: Environmental Transparency/Assessment/Controls	Closed: Successful
Jackson Laboratory	Ecosystems (Biodiversity;Wildlife; Habitats; Protected Areas; A-/De-/R-eforestation)	Closed: Successful
NGL Energy Partners LP	Pollution/Purity: Air; Water; Soil; Noise	Closed: Successful
ADNOC Drilling Co PJSC	Environmental Management Policy	Ongoing

Environmental Continued

Issuer	Topic	Status
Wheaton Precious Metals Corp.	Environmental Management Policy	Closed: Successful
ADNOC Drilling Co PJSC	Sustainability Policy	Ongoing
Freeport Indonesia PT	Ecosystems (Biodiversity;Wildlife; Habitats; Protected Areas; A-/De-/R-eforestation)	Ongoing
Piraeus Bank S.A.	Climate Change	Closed: Successful
National Atomic Company Kazatomprom JSC Sponsored GDR RegS	Water & Wastewater Management	Ongoing
National Atomic Company Kazatomprom JSC Sponsored GDR RegS	Physical Impacts of Climate Change	Ongoing
Indonesia Asahan Aluminium Persero PT	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Manila Water Co Inc	Resource Usage (Withdrawal/Consumption/ Management of Water, Land, Natural Resources)	Ongoing
JSW Infrastructure Ltd	Energy Mix: Fossil Fuels/Renewable Energy	Ongoing
First Quantum Minerals Ltd	Climate Change	Ongoing
Republic of Serbia Ministry of Finance	Energy Mix: Fossil Fuels/Renewable Energy	Ongoing
Limak Iskenderun Ulustararasi Liman Isletmeciligi AS	Ecosystems (Biodiversity;Wildlife; Habitats; Protected Areas; A-/De-/R-eforestation)	Ongoing
Albania Government International Bond	Energy Mix: Fossil Fuels/Renewable Energy	Ongoing
Republic of South Africa Government Bond	Climate Change	Ongoing
North Macedonia Government International Bond	Energy Mix: Fossil Fuels/Renewable Energy	Ongoing
Ineos Group Holdings S.A.	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Alibaba Group Holding Limited	Environmental Management Policy	Ongoing
JD.com Inc	Sustainability Policy	Closed: Successful
NetEase Inc	Sustainability Policy	Closed: Successful
Ineos Quattro Holdings Ltd	Circular Economy (incl. Product Design; Sustainability of Materials)	Ongoing
Brixmor Property Group Inc.	Energy Consumption (incl. Supply Chain)	Closed: Successful
Enel SpA	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Zhihu Inc	Sustainability Policy	Closed: Successful
GoerTek Inc	Environmental Management Policy	Ongoing
Luxshare Precision Industry Co Ltd	Environmental Management Policy	Ongoing
Commonwealth of the Bahamas	Climate Change	Ongoing
Republic of South Africa Government Bond	Climate Change	Ongoing
Hashemite Kingdom of Jordan	Climate Change	Ongoing
Uruguay, Government of	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Co for Cooperative Insurance	Environmental Management Policy	Ongoing
MidCap Financial Trust	Climate Change	Ongoing
El Puerto de Liverpool SAB de CV	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Industrias Penoles Sab De Cv	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
First Quantum Minerals Ltd	Energy Mix: Fossil Fuels/Renewable Energy	Ongoing
Tunisian Republic	Energy Mix: Fossil Fuels/Renewable Energy	Ongoing
Kia Motors Corp	Environmental Management Policy	Ongoing
Motherson Sumi Wiring India Ltd	Environmental Management Policy	Ongoing
Boels Topholding BV	Circular Economy (incl. Product Design; Sustainability of Materials)	Ongoing
CenterPoint Energy Inc.	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Duke Energy Corp.	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful

Environmental Continued

Issuer	Topic	Status
Energy Corp	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Berkshire Hathaway Energy Co.	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
CMS Energy Corp.	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Edison International	Climate Change	Closed: Successful
PG&E Corp.	Climate Change	Closed: Successful
Southern Company (The)	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Archer-Daniels-Midland Company	Environmental Management Policy	Ongoing
TSM II LUXCO 10 SARL	Energy Consumption (incl. Supply Chain)	Ongoing
Sigma Alimentos	Supply Chain: Environmental Transparency/Assessment/Controls	Ongoing
Bunge Ltd.	Traceability of Key Inputs—Environmental	Ongoing
Dominion Energy Inc.	Energy Mix: Fossil Fuels/Renewable Energy	Closed: Successful
Pegasus Bidco B.V.	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Darling Ingredients Inc.	Renewable Energy	Ongoing
Ecuador, Government of	Energy Mix: Fossil Fuels/Renewable Energy	Ongoing
Luxshare Precision Industry Co Ltd	Sustainability Policy	Ongoing
PT Indocement Tungal Prakarsa Tbk.	Environmental Management Policy	Ongoing
Dixon Technologies India Ltd	Carbon Scope 1	Ongoing
L&F CO LTD	Environmental Management Policy	Ongoing
L&F CO LTD	Sustainability Policy	Ongoing
MSCI Inc.	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing
Zhejiang Supcon Technology Co Ltd	Environmental Management Policy	Ongoing
Unisys Corp	Greenhouse Gas Emissions (incl. Carbon Footprint)	Ongoing

Social

Issuer	Topic	Status
VEB.RF	Community Relations (incl. Rights of Indigenous Peoples; Security Practices; Socio-Economic Impact)	Closed: Unsuccessful
Sovcombank	Community Relations (incl. Rights of Indigenous Peoples; Security Practices; Socio-Economic Impact)	Closed: Successful
Piraeus Bank S.A.	Consumer Health, Safety & Wellbeing	Closed: Successful
Dollarama Inc.	Diversity Policy	Ongoing
Simmons Foods, Inc.	Labor Remuneration	Closed: Successful
KakaoBank Corp	Diversity Policy	Closed: Successful
Xiaomi Corp	Data Privacy/Confidentiality Policy/ies	Ongoing
WakeMed	Privacy & Cyber Security	Closed: Successful
JSW Steel	Community Relations (incl. Rights of Indigenous Peoples; Security Practices; Socio-Economic Impact)	Ongoing
eMemory Technology Inc	Cybersecurity Policy	Closed: Successful
Gruma, S.A.B. de C.V.	Employee Engagement, Diversity & Inclusion	Closed: Successful
Global Net Lease, Inc.	Community Relations (incl. Rights of Indigenous Peoples; Security Practices; Socio-Economic Impact)	Ongoing
Old Claimco LLC	Labor Remuneration	Ongoing
Renaissance Learning, Inc.	Privacy & Cyber Security	Closed: Successful
Rio Tinto PLC	Equal Treatment/Rights at Work Policy	Ongoing

Social Continued

Issuer	Topic	Status
TSM II LUXCO 10 SARL	Equality, Diversity & Equal Opportunity	Ongoing
Avolon Holdings Limited	Training & Education	Ongoing
China Resources Cement Holdings Ltd	Injuries	Ongoing
Pertamina (Persero) (P.T.)	Occupational Health, Safety & Wellbeing	Ongoing
Getinge AB	Fatalities	Closed: Successful
Saudi Airlines Catering Co	Product Quality & Safety	Closed: Successful
GPS Participacoes e Empreendimentos S.A.	Human Rights & Community Relations	Closed: Successful
Riyad Bank	Equal Treatment/Rights at Work Policy	Ongoing
BANQUE SAUDI FRANSI	Equal Treatment/Rights at Work Policy	Ongoing
ICICI Prudential Life Insurance Co Ltd	Cybersecurity Policy	Closed: Successful
Saudi Arabian Mining Co	Equal Treatment/Rights at Work Policy	Ongoing
Saudi Arabian Mining Co	Equal Treatment/Rights at Work Policy	Ongoing
Saudi Arabian Mining Co	Injuries	Ongoing
Saudi British Bank, The	Equal Treatment/Rights at Work Policy	Ongoing
Saudi Telecom Company	Equal Treatment/Rights at Work Policy	Ongoing
Saudi Telecom Company	Data Privacy/Confidentiality Policy/ies	Ongoing
Mondi Plc	Fatalities	Closed: Successful
Curium Bidco S.a.r.l	Equality, Diversity & Equal Opportunity	Ongoing
SI Group Cayman Islands	Equality, Diversity & Equal Opportunity	Ongoing
Arkema SA	Injuries	Closed: Successful
AMG Advanced Metallurgical Group N.V.	Injuries	Closed: Successful
Intelbras SA Industria de Telecomunicacao Eletronica Brasileira	Employee Engagement, Diversity & Inclusion	Ongoing
Via Varejo Sa	Labor Practices	Closed: Successful
SGS SA	Diversity Policy	Ongoing
Cleanaway Waste Management Limited	Equal Treatment/Rights at Work Policy	Closed: Unsuccessful
National Commercial Bank	Equal Treatment/Rights at Work Policy	Ongoing
Cleanaway Waste Management Limited	Labor Practices	Closed: Unsuccessful
Omega Geracao S.A.	Human Rights & Community Relations	Ongoing
HDC Hyundai Development Co-Engineering & Construction	Occupational Health, Safety & Wellbeing	Ongoing
Bapcor Ltd	Diversity Policy	Closed: Successful
Ansell Ltd	Supply Chain Management—Social	Ongoing
China State Construction International Holdings Limited	Diversity Policy	Closed: Successful
UPL Ltd	Employee Engagement, Diversity & Inclusion	Ongoing
Varonis Systems Inc	Cybersecurity Policy	Closed: Successful
PI Industries Ltd	Cybersecurity Policy	Closed: Successful
Stanley Black & Decker, Inc.	Product Quality & Safety	Discontinued
Pagseguro Digital Ltd	Cybersecurity Policy	Ongoing
NAVER Corp	Equal Treatment/Rights at Work Policy	Ongoing
Speciality Chemicals International BV	Equality, Diversity & Equal Opportunity	Ongoing
DP World PLC	Labor Remuneration	Closed: Successful
Nien Made Enterprise Co Ltd	Staff Turnover	Ongoing
Stanley Black & Decker, Inc.	Staff Turnover	Discontinued

Social Continued

Issuer	Topic	Status
Take-Two Interactive Software, Inc.	Consumer Health, Safety & Wellbeing	Ongoing
IVC Acquisition Ltd	Consumer Health, Safety & Wellbeing	Ongoing
Domus Vi SA	Training & Education	Ongoing
Florida Food Products LLC	Marketing & Selling Practices	Ongoing
Hygeia Healthcare Holdings Co Ltd	Data Privacy/Confidentiality Policy/ies	Ongoing
Yeochun Ncc Co Ltd	Occupational Health, Safety & Wellbeing	Ongoing
XP Inc	Cybersecurity Policy	Ongoing
Ukraine Railways Via Rail Capital Markets PLC	Community Relations (incl. Rights of Indigenous Peoples; Security Practices; Socio-Economic Impact)	Closed: Successful
Intrum AB	Cybersecurity Policy	Ongoing
National Australia Bank Limited	Employee Engagement, Diversity & Inclusion	Closed: Successful
Gulf Bank KSCP	Equal Treatment/Rights at Work Policy	Ongoing
Eversource Energy	Access & Affordability (incl. Pricing)	Closed: Successful
Nidda Healthcare Holding GmbH	Consumer Health, Safety & Wellbeing	Closed: Successful
IRB Infrastructure Developers Ltd	Labor Remuneration	Closed: Successful
Atmos Energy Corp.	Consumer Health, Safety & Wellbeing	Closed: Successful
PPL Corp.	Consumer Health, Safety & Wellbeing	Closed: Successful
Amphora Finance Limited	Consumer Health, Safety & Wellbeing	Closed: Successful
Kia Motors Corp	Diversity Policy	Ongoing
Abu Dhabi Commercial Bank PJSC	Equal Treatment/Rights at Work Policy	Ongoing
Commercial Bank of Qatar, The	Equal Treatment/Rights at Work Policy	Ongoing
Bellis Acquisition Company plc	Marketing & Selling Practices	Closed: Successful
WEPA Hygieneprodukte GmbH	Privacy & Cyber Security	Ongoing
Excelitas Technologies Corp.	Community Relations (incl. Rights of Indigenous Peoples; Security Practices; Socio-Economic Impact)	Closed: Successful
Casper Bidco SASU	Labor Rights & Relations (incl. Freedom of Association; Collective Bargaining; Strikes)	Ongoing
Intrum AB	Consumer Health, Safety & Wellbeing	Ongoing
Garfunkelux Holdco 2 S.A.	Consumer Health, Safety & Wellbeing	Closed: Successful
Advanz Pharma Corp.	Marketing & Selling Practices	Closed: Successful
Banjay Group S.A.S	Labor Rights & Relations (incl. Freedom of Association; Collective Bargaining; Strikes)	Ongoing
HDC Holdings Co Ltd	Occupational Health, Safety & Wellbeing	Ongoing
Glanbia plc	Fair Wages	Ongoing
888 Financing LLC	Consumer Health, Safety & Wellbeing	Closed: Successful
ISS A/S	Fatalities	Ongoing
Speedster Bidco GmbH	Equality, Diversity & Equal Opportunity	Ongoing
TeamSystem S.P.A.	Privacy & Cyber Security	Ongoing
Aston Midco Limited	Privacy & Cyber Security	Ongoing
Financiere Colisee	Consumer Health, Safety & Wellbeing	Closed: Successful
BGF retail Co Ltd	Diversity Policy	Ongoing
DiaSorin SpA	Staff Turnover	Ongoing
Brixmor Property Group Inc.	Labor Remuneration	Closed: Successful
Rotork PLC	Equal Pay Policy (U.K. Only)	Ongoing
Humansoft Holding Co KSCP	Selling Practices & Product Labelling	Ongoing
Co for Cooperative Insurance	Cybersecurity Policy	Ongoing

Social Continued

Issuer	Topic	Status
BHP Group Ltd.	Labor Practices	Ongoing
BIM Birlesik Magazalar AS	Diversity Policy	Ongoing
Infinitas Learning Netherlands BV	Slavery	Ongoing
Edison International	Access & Affordability (incl. Pricing)	Closed: Successful
Abu Dhabi Islamic Bank	Equal Treatment/Rights at Work Policy	Ongoing
LEENO Industrial Inc	Diversity Policy	Ongoing
Ecuador, Government of	Community Relations (incl. Rights of Indigenous Peoples; Security Practices; Socio-Economic Impact)	Ongoing
L&F CO LTD	Diversity Policy	Ongoing
MTN Group Ltd	Equal Treatment/Rights at Work Policy	Ongoing
Aristocrat Leisure Ltd	Health/Wellness Implications of Consumption of Goods/Services	Ongoing

Governance

Issuer	Topic	Status
Alpha Bank AE	Transparency & Reporting	Closed: Successful
China Construction Bank Corporation	Reporting on ESG Risks and Opportunities to Limited Partners	Closed: Unsuccessful
DP World PLC	Transparency & Reporting	Closed: Successful
DP World PLC	Corporate Strategy	Closed: Successful
Mosaic Co., The	Appropriate Incentive Structure	Ongoing
Abu Dhabi Ports Company PJSC	Transparency & Reporting	Closed: Successful
Israel Electric Corp. Ltd.	Transparency & Reporting	Closed: Successful
LIFENET INSURANCE CO	Appropriate Incentive Structure	Ongoing
Topsports International Holdings Ltd	Anti-Bribery & Corruption (ABC) Policy	Closed: Successful
Impro Precision Industries Ltd	Separation of Chair & CEO	Ongoing
Impro Precision Industries Ltd	Succession Plan	Ongoing
National Bank of Greece S.A.	Transparency & Reporting	Closed: Successful
Ipoteka Bank	Stakeholder Management	Closed: Unsuccessful
Simmons Foods, Inc.	Corporate Strategy	Closed: Successful
Toyota Motor Corp.	Statement of Directors Roles & Responsibilities (incl. Board Composition)	Ongoing
Wuliangye Yibin Co Ltd	Tax Disclosure	Ongoing
Koito Manufacturing Co., Ltd.	Independence of Board	Closed: Successful
Nomura Research Institute Ltd	Independent Audit Committee	Closed: Successful
Evonik Industries AG	Conflicts of Interest Policy	Closed: Unsuccessful
Link And Motivation Inc	Female Participation on Boards	Closed: Successful
Smurfit Kappa Group plc	Conflicts of Interest Policy	Closed: Successful
LANXESS AG	Key-Person Triggering Events	Ongoing
National Atomic Company Kazatomprom JSC Sponsored GDR RegS	Statement of Directors Roles & Responsibilities (incl. Board Composition)	Closed: Successful
WakeMed	Corporate Strategy	Closed: Successful
Coca-Cola Femsa	Female Participation on Boards	Ongoing
Global Net Lease, Inc.	Transparency & Reporting	Ongoing
Orpea SA	Business Ethics	Closed: Unsuccessful
Old Claimco LLC	Corporate Strategy	Ongoing

Governance Continued

Issuer	Topic	Status
Veritas Bermuda Ltd.	Corporate Strategy	Closed: Successful
Li Auto Inc	Independence of Board	Ongoing
Li Auto Inc	Separation of Chair & CEO	Ongoing
SAN Miguel Industrias Pet SA	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Discontinued
Kallpa Generacion SA	Transparency & Reporting	Closed: Unsuccessful
NIO Inc. (fka NextEV Inc.)	Independence of Board	Ongoing
NIO Inc. (fka NextEV Inc.)	Separation of Chair & CEO	Ongoing
Infraestructura Energetica Nova SAB de CV	Transparency & Reporting	Closed: Unsuccessful
Coloplast A/s	Independence of Board	Ongoing
PI Industries Ltd	Appropriate Incentive Structure	Ongoing
Aydem Yenilenebilir Enerji AS	Transparency & Reporting	Closed: Successful
Boxer Parent Company Inc.	Strategy, Business Plan & Performance	Ongoing
Syniverse Holdings, Inc.	Audit	Closed: Successful
FactSet Research Systems Inc	Corporate Strategy	Ongoing
McAfee Enterprise	Strategy, Business Plan & Performance	Ongoing
Avolon Holdings Limited	Risk Management & Policies	Ongoing
Co for Cooperative Insurance	Whistleblowing Policy	Ongoing
Wilmar International Ltd	Female Participation on Boards	Ongoing
Cheil Worldwide Inc	Independence of Board	Ongoing
China Resources Cement Holdings Ltd	Independence of Board	Ongoing
Hikari Tsushin Inc	Female Participation on Boards	Closed: Successful
Vedanta Resources PLC	Transparency & Reporting	Ongoing
Investment Energy Resources Ltd	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Closed: Unsuccessful
Sika AG	Appropriate Incentive Structure	Ongoing
Nabtesco Corp	Female Participation on Boards	Ongoing
Nabtesco Corp	Independence of Board	Closed: Successful
FirstEnergy Corp.	Lawsuits/Fines/Sanctions/Violations of Regulation	Closed: Successful
FirstEnergy Corp.	Corporate Strategy	Closed: Successful
Tokyo Electron Limited	Female Participation on Boards	Closed: Unsuccessful
FirstEnergy Corp.	Stakeholder Management	Closed: Successful
Riyad Bank	Whistleblowing Policy	Ongoing
BANQUE SAUDI FRANSI	Whistleblowing Policy	Ongoing
SBI Life Insurance Company Limited	Appropriate Incentive Structure	Closed: Successful
FirstEnergy Corp.	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Closed: Successful
FirstEnergy Corp.	Risk Management & Policies	Closed: Successful
Saudi British Bank, The	Whistleblowing Policy	Ongoing
Albemarle Corp.	Separation of Chair & CEO	Ongoing
Guala Closures S.p.A.	Strategy, Business Plan & Performance	Closed: Successful
ASANA INC	Voting Structure	Closed: Unsuccessful
National Atomic Company Kazatomprom JSC Sponsored GDR RegS	Conflicts of Interest Policy	Ongoing
South Africa, Government of	Public Policy (incl. Industry Groups/Associations)	Ongoing
CEP IV Investment 16 S.a.r.l.	Corporate Strategy	Closed: Successful

Governance Continued

Issuer	Topic	Status
Curium Bidco S.a.r.l	Stakeholder Management	Ongoing
Piolin Bidco, S.A.U.	Stakeholder Management	Ongoing
Columbus Finance BV	Stakeholder Management	Closed: Unsuccessful
AES Gener S.A.	Transparency & Reporting	Closed: Unsuccessful
Befesa S.A.	Female Participation on Boards	Closed: Successful
NIFCO INC.	Material ESG Risk Disclosure	Closed: Successful
Avery Dennison Corp.	Female Participation on Boards	Closed: Successful
Arkema SA	Voting Structure	Closed: Unsuccessful
Amcor Plc	Engagement/Stewardship Policy	Closed: Successful
Koito Manufacturing Co., Ltd.	Independence of Board	Closed: Successful
Alfen Beheer BV	Inducements Policy (if not covered in ABC Policy)	Closed: Successful
Halma plc	Appropriate Incentive Structure	Ongoing
IBU-tec advanced materials AG	Whistleblowing Policy	Closed: Successful
Hilding Anders Financing AB	Strategy, Business Plan & Performance	Closed: Successful
MagForce AG	Anti-Bribery & Corruption (ABC) Policy	Closed: Unsuccessful
MagForce AG	Whistleblowing Policy	Closed: Unsuccessful
Hilding Anders Financing AB	Strategy, Business Plan & Performance	Closed: Successful
Daikin Industries, Ltd.	Access to Management	Ongoing
Riyad Bank	Financial Crime Prevention Policies (incl. AML; Insider Trading)	Ongoing
Hilding Anders Financing AB	Transparency & Reporting	Closed: Successful
Hilding Anders Financing AB	Corporate Strategy	Closed: Successful
Aston Martin Capital Ltd.	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Closed: Successful
Aston Martin Capital Ltd.	Transparency & Reporting	Closed: Successful
Aston Martin Capital Ltd.	Risk Management & Policies	Closed: Successful
Spa Holdings 3 Oy	Risk Management & Policies	Closed: Successful
CCR S.A.	Independence of Board	Ongoing
Via Varejo Sa	Engagement/Stewardship Policy	Closed: Successful
Firststrand Limited	Female Participation on Boards	Ongoing
Venator Materials LLC	Transparency & Reporting	Ongoing
Ontex Group NV	Appropriate Incentive Structure	Closed: Successful
Bapcor Ltd	Key-Person Triggering Events	Ongoing
eMemory Technology Inc	Attendance Record	Closed: Successful
Nien Made Enterprise Co Ltd	Attendance Record	Closed: Successful
Turkish Airlines 2015-1 Class A Pass Through Trust	Transparency & Reporting	Closed: Successful
Rogers Communications Inc.	Stakeholder Management	Ongoing
Empresa de los Ferrocarriles del Estado	Transparency & Reporting	Ongoing
Dermapharm Holding SE	Size of Board	Closed: Unsuccessful
Dermapharm Holding SE	Size of Board	Closed: Unsuccessful
Dermapharm Holding SE	Statement of Directors Roles & Responsibilities (incl. Board Composition)	Closed: Successful
ANTA Sports Products Ltd	Financial Reporting	Ongoing
Topsports International Holdings Ltd	Financial Reporting	Closed: Successful
China State Construction International Holdings Limited	Independence of Board	Closed: Successful

Governance Continued

Issuer	Topic	Status
Siemens Gamesa Renewable Energy, S.A.	Statement of Directors Roles & Responsibilities (incl. Board Composition)	Closed: Successful
Siemens Gamesa Renewable Energy, S.A.	Female Participation on Boards	Closed: Successful
Paltac Corporation	Independence of Board	Ongoing
Mar Bidco S.a.r.l	Board Remuneration	Closed: Successful
Mar Bidco S.a.r.l	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Closed: Successful
Mar Bidco S.a.r.l	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Closed: Successful
Mar Bidco S.a.r.l	Stakeholder Management	Closed: Successful
Public Service Enterprise Group Inc.	Stakeholder Management	Closed: Successful
Public Service Enterprise Group Inc.	Corporate Strategy	Closed: Successful
Discovery Inc	Corporate Strategy	Ongoing
Empresa De Transporte Me	Transparency & Reporting	Ongoing
Interchile SA	Transparency & Reporting	Ongoing
SCSK Corp	Independence of Board	Ongoing
PepsiCo Inc.	Frequency of Meetings	Closed: Successful
Koninklijke Ahold Delhaize NV (fka Koninklijke Ahold N.V.)	Data Privacy/Confidentiality Policy—Governance	Ongoing
Toyota Motor Corp.	Appropriate Incentive Structure	Closed: Successful
Wal-Mart de Mexico SAB de CV	Conflicts of Interest Controversies	Ongoing
Salesforce.com Inc.	Statement of Directors Roles & Responsibilities (incl. Board Composition)	Ongoing
Consortio Transmantaro SA	Transparency & Reporting	Ongoing
Ozon Holdings PLC	Anti-Bribery & Corruption (ABC) Policy	Closed: Successful
LSF10 XL Investments S.a.r.l	Transparency & Reporting	Closed: Successful
Cia Siderurgica Nacional SA (CSN)	Audit	Closed: Unsuccessful
Dollarama Inc.	Material ESG Risk Disclosure	Ongoing
Samsung Electro-Mechanics Co Ltd	Statement of Directors Roles & Responsibilities (incl. Board Composition)	Ongoing
Samsung SDI Co Ltd	Independence of Board	Ongoing
Kindstar Globalgene Technology Inc	Access to Management	Ongoing
Wuxi Biologics (Cayman) Inc.	Supply Chain Management—Governance	Ongoing
HYBE Co Ltd	Female Participation on Boards	Ongoing
Pearl Abyss Corp	Independence of Board	Ongoing
International Container Terminal Services Inc	Whistleblowing Policy	Closed: Successful
Albion HoldCo Limited	Risk Management & Policies	Closed: Successful
Nedbank Group Ltd	Female Participation on Boards	Ongoing
Providence St Joseph Health Obligated Group	Lawsuits/Fines/Sanctions/Violations of Regulation	Closed: Unsuccessful
Randon SA Implementos e Participacoes	Financial Reporting	Ongoing
Instituto Costarricense de Electricidad	Transparency & Reporting	Ongoing
Instituto Costarricense de Electricidad	Strategy, Business Plan & Performance	Ongoing
Casino, Guichard-Perrachon S.A.	Transparency & Reporting	Ongoing
Viacom Inc.	Corporate Strategy	Ongoing
Bellis Acquisition Company plc	Corporate Strategy	Ongoing
Bahia De Las Isletas, S.L.	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Ongoing

Governance Continued

Issuer	Topic	Status
Workday, Inc.	Corporate Strategy	Ongoing
Sempra Energy	Corporate Strategy	Closed: Successful
Sempra Energy	Stakeholder Management	Closed: Successful
Take-Two Interactive Software, Inc.	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Ongoing
Take-Two Interactive Software, Inc.	Corporate Strategy	Ongoing
Jiumaojiu International Holdings Ltd	Financial Reporting	Ongoing
Hilding Anders Financing AB	Stakeholder Management	Closed: Successful
Hilding Anders Financing AB	Audit	Closed: Successful
Li Ning Co Ltd	Supply Chain Management—Governance	Closed: Successful
Shin-Etsu Chemical Co Ltd	Female Participation on Boards	Ongoing
Republic of Trinidad & Tobago	Public Policy (incl. Industry Groups/Associations)	Ongoing
Republic of Trinidad & Tobago	Transparency & Reporting	Ongoing
Nien Made Enterprise Co Ltd	Separation of Chair & CEO	Ongoing
Islamic Republic of Pakistan	Transparency & Reporting	Ongoing
Macedonia, Government of	Transparency & Reporting	Ongoing
Ukraine, Government of	Transparency & Reporting	Ongoing
Senegal, Government of	Transparency & Reporting	Closed: Successful
Senegal, Government of	Public Policy (incl. Industry Groups/Associations)	Closed: Successful
Oisix ra daichi Inc	Statement of Directors Roles & Responsibilities (incl. Board Composition)	Ongoing
Federal Republic of Nigeria	Public Policy (incl. Industry Groups/Associations)	Ongoing
Nintendo Co Ltd	Independence of Board	Closed: Unsuccessful
Toyota Industries Corporation	Female Participation on Boards	Ongoing
Florida Food Products LLC	Risk Management & Policies	Ongoing
Banijay Group S.A.S	Corporate Strategy	Closed: Unsuccessful
Banijay Group S.A.S	Stakeholder Management	Closed: Successful
China State Construction International Holdings Limited	Female Participation on Boards	Closed: Successful
Nari Technology Co Ltd	Anti-Bribery & Corruption (ABC) Policy	Ongoing
Nari Technology Co Ltd	Independent Audit Committee	Ongoing
Cullinan Holdco Scsp	Transparency & Reporting	Ongoing
Georgia, Government of	Transparency & Reporting	Ongoing
South Africa, Government of	Transparency & Reporting	Ongoing
Republic of Ghana	Transparency & Reporting	Ongoing
AES Corporation (The)	Corporate Strategy	Closed: Successful
AES Corporation (The)	Public Policy (incl. Industry Groups/Associations)	Closed: Successful
AES Corporation (The)	Stakeholder Management	Closed: Successful
AES Corporation (The)	Strategy, Business Plan & Performance	Closed: Successful
Darling Ingredients Inc.	Strategy, Business Plan & Performance	Closed: Successful
Bellis Acquisition Company plc	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Ongoing
Uzauto Motors AJ	Lawsuits/Fines/Sanctions/Violations of Regulation	Closed: Successful
Georgian Railway JSC	Lawsuits/Fines/Sanctions/Violations of Regulation	Closed: Successful
City of Hope	Transparency & Reporting	Closed: Successful
Southern Company (The)	Public Policy (incl. Industry Groups/Associations)	Closed: Successful

Governance Continued

Issuer	Topic	Status
Gulf Finance, LLC	Transparency & Reporting	Closed: Successful
PostNL NV	Anti-Bribery & Corruption (ABC) Policy	Ongoing
NiSource Inc.	Corporate Strategy	Closed: Successful
Southern Company (The)	Stakeholder Management	Closed: Successful
Southern Company (The)	Corporate Strategy	Closed: Successful
Invitation Homes Inc	Strategy, Business Plan & Performance	Ongoing
Invitation Homes Inc	Strategy, Business Plan & Performance	Ongoing
AvalonBay Communities, Inc.	Corporate Strategy	Ongoing
AvalonBay Communities, Inc.	Corporate Strategy	Ongoing
Simon Property Group, Inc	Transparency & Reporting	Ongoing
Simon Property Group, Inc	Corporate Strategy	Ongoing
Boston Properties LP	Corporate Strategy	Ongoing
Equity Residential	Corporate Strategy	Ongoing
Equity Residential	Strategy, Business Plan & Performance	Ongoing
Essex Property Trust Inc.	Corporate Strategy	Ongoing
Phillips Edison	Corporate Strategy	Ongoing
Phillips Edison	Corporate Strategy	Ongoing
THG Operations Holdings Ltd	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Closed: Successful
Alfa Desarrollo SpA	Transparency & Reporting	Ongoing
Cullinan Holdco Scsp	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Ongoing
Gulf Bank KSCP	Whistleblowing Policy	Ongoing
Eversource Energy	Corporate Strategy	Closed: Successful
Eversource Energy	Public Policy (incl. Industry Groups/Associations)	Closed: Successful
Eversource Energy	Stakeholder Management	Closed: Successful
Enel SpA	Public Policy (incl. Industry Groups/Associations)	Closed: Successful
Enel SpA	Corporate Strategy	Closed: Successful
Breitling Financing Sarl	Risk Management & Policies	Ongoing
Datadog Inc	Statement of Directors Roles & Responsibilities (incl. Board Composition)	Ongoing
Continuum Energy Levanter Pte Ltd	Corporate Strategy	Closed: Successful
ReNew Power Pvt Ltd	Corporate Strategy	Closed: Successful
Atmos Energy Corp.	Public Policy (incl. Industry Groups/Associations)	Closed: Successful
Atmos Energy Corp.	Stakeholder Management	Closed: Successful
PPL Corp.	Corporate Strategy	Closed: Successful
Inkia Energy Ltd.	Corporate Strategy	Ongoing
Grupo IDESA, S.A. de C.V.	Transparency & Reporting	Closed: Unsuccessful
Cloudflare Inc	Business Ethics	Ongoing
Steadfast Group Ltd	Cybersecurity Policy—Governance	Ongoing
United Site Services	Transparency & Reporting	Ongoing
Sempra Energy	Corporate Strategy	Closed: Successful
Sempra Energy	Stakeholder Management	Closed: Successful
Consensus Cloud Solutions, Inc.	Transparency & Reporting	Ongoing
Vue International BidCo PLC	Stakeholder Management	Closed: Successful

Governance Continued

Issuer	Topic	Status
Vue International BidCo PLC	Transparency & Reporting	Closed: Successful
Vue International BidCo PLC	Stakeholder Management	Closed: Successful
Vue International BidCo PLC	Stakeholder Management	Closed: Successful
Vue International BidCo PLC	Board Remuneration	Ongoing
Vue International BidCo PLC	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Closed: Successful
Vue International BidCo PLC	Risk Management & Policies	Closed: Successful
Vue International BidCo PLC	Risk Management & Policies	Closed: Successful
Vue International BidCo PLC	Board Remuneration	Closed: Successful
Vue International BidCo PLC	Audit	Closed: Successful
Vue International BidCo PLC	Corporate Strategy	Closed: Successful
Vue International BidCo PLC	Transparency & Reporting	Closed: Successful
Vue International BidCo PLC	Risk Management & Policies	Closed: Successful
Vue International BidCo PLC	Strategy, Business Plan & Performance	Closed: Successful
Vue International BidCo PLC	Strategy, Business Plan & Performance	Closed: Successful
Vue International BidCo PLC	Transparency & Reporting	Closed: Successful
Vue International BidCo PLC	Transparency & Reporting	Closed: Successful
Vue International BidCo PLC	Stakeholder Management	Closed: Successful
Vue International BidCo PLC	Stakeholder Management	Closed: Successful
Vue International BidCo PLC	Stakeholder Management	Closed: Successful
Vue International BidCo PLC	Strategy, Business Plan & Performance	Closed: Successful
Vue International BidCo PLC	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Closed: Successful
Vue International BidCo PLC	Strategy, Business Plan & Performance	Closed: Successful
Vue International BidCo PLC	Risk Management & Policies	Closed: Successful
Vue International BidCo PLC	Risk Management & Policies	Closed: Successful
Vue International BidCo PLC	Strategy, Business Plan & Performance	Closed: Successful
Vue International BidCo PLC	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Closed: Successful
Vue International BidCo PLC	Strategy, Business Plan & Performance	Closed: Successful
Vue International BidCo PLC	Risk Management & Policies	Closed: Successful
Vue International BidCo PLC	Stakeholder Management	Closed: Successful
Vue International BidCo PLC	Board Remuneration	Closed: Successful
Vue International BidCo PLC	Audit	Closed: Successful
IPS Corporation	Transparency & Reporting	Ongoing
ION Corporate Solutions Finance Limited	Transparency & Reporting	Ongoing
Commercial Bank of Qatar, The	Whistleblowing Policy	Ongoing
Vue International BidCo PLC	Board Remuneration	Closed: Successful
Vue International BidCo PLC	Risk Management & Policies	Closed: Successful
Banijay Group S.A.S	Risk Management & Policies	Ongoing
Facebook Inc	Corporate Strategy	Ongoing
BVI Medical Inc	Transparency & Reporting	Ongoing
Southern Company (The)	Corporate Strategy	Closed: Successful
Southern Company (The)	Stakeholder Management	Closed: Successful
Albion Holdco Ltd	Transparency & Reporting	Closed: Successful

Governance Continued

Issuer	Topic	Status
Gabonese Republic	Transparency & Reporting	Ongoing
Zephyr German BidCo GmbH	Transparency & Reporting	Closed: Successful
FEL Energy VI Sarl	Transparency & Reporting	Closed: Successful
Kuaishou Technology	Cybersecurity Policy—Governance	Closed: Successful
BILIBILI INC	Data Privacy/Confidentiality Policy—Governance	Closed: Successful
Flamingo SAS	Transparency & Reporting	Ongoing
Sasol Financing International Ltd	Stakeholder Management	Ongoing
Samsung Electronics Co. Ltd.	Cybersecurity Policy—Governance	Closed: Successful
Jamaica	Strategy, Business Plan & Performance	Ongoing
Acrisure, LLC	Board Composition: Diversity; Tenure; Attendance; Over-Boarding	Closed: Unsuccessful
Hutama Karya Persero PT	Transparency & Reporting	Closed: Successful
National Atomic Company Kazatomprom JSC Sponsored GDR RegS	Inducements Policy (if not covered in ABC Policy)	Ongoing
Kadokawa Corp	Anti-Bribery & Corruption Controversies	Ongoing
Koei Tecmo Holdings Co Ltd	Critical Incident Risk Management	Ongoing
KazMunayGas NC JSC	Transparency & Reporting	Ongoing
Tullow Oil PLC	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Take-Two Interactive Software, Inc.	Cybersecurity Policy—Governance	Ongoing
FEL Energy VI Sarl	Transparency & Reporting	Closed: Successful
Tullow Oil PLC	Stakeholder Management	Ongoing
Credit Corp Group Ltd	Management of the Legal & Regulatory Environment	Ongoing
SI Group Cayman Islands	Transparency & Reporting	Ongoing
888 Financing LLC	Risk Management & Policies	Closed: Successful
BGF retail Co Ltd	Statement of Directors Roles & Responsibilities (incl. Board Composition)	Ongoing
BGF retail Co Ltd	Separation of Chair & CEO	Ongoing
DiaSorin SpA	Whistleblowing Policy	Ongoing
DiaSorin SpA	Appropriate Incentive Structure	Ongoing
DiaSorin SpA	Succession Plan	Ongoing
Minerva Bidco Limited	Risk Management & Policies	Ongoing
LSF10 XL Investments S.a.r.l	Risk Management & Policies	Closed: Successful
Government of Mongolia	Audit	Ongoing
MOL Hungarian Oil & Gas	Anti-Bribery & Corruption Controversies	Ongoing
Republic of Uganda	Transparency & Reporting	Ongoing
Angola, Government of	Public Policy (incl. Industry Groups/Associations)	Ongoing
Morocco, Government of	Transparency & Reporting	Ongoing
Federal Republic of Nigeria	Transparency & Reporting	Ongoing
Dominican Republic, Government of	Public Policy (incl. Industry Groups/Associations)	Ongoing
Armenia, Government of	Public Policy (incl. Industry Groups/Associations)	Ongoing
Armenia, Government of	Public Policy (incl. Industry Groups/Associations)	Ongoing
Romania, Government of	Public Policy (incl. Industry Groups/Associations)	Ongoing
Co for Cooperative Insurance	Data Privacy/Confidentiality Policy—Governance	Ongoing
Macedonia, Government of	Public Policy (incl. Industry Groups/Associations)	Ongoing
Republic of Indonesia	Public Policy (incl. Industry Groups/Associations)	Ongoing

Governance Continued

Issuer	Topic	Status
Republic of Uzbekistan	Public Policy (incl. Industry Groups/Associations)	Ongoing
Republic of Uzbekistan	Public Policy (incl. Industry Groups/Associations)	Ongoing
Republic of Azerbaijan	Public Policy (incl. Industry Groups/Associations)	Ongoing
Ukraine, Government of	Public Policy (incl. Industry Groups/Associations)	Ongoing
Bulgarian Energy Holding EAD	Corporate Strategy	Ongoing
Bulgarian Energy Holding EAD	Stakeholder Management	Ongoing
Li Ning Co Ltd	Financial Reporting	Closed: Successful
Asurion LLC	Risk Management & Policies	Ongoing
Asurion LLC	Risk Management & Policies	Ongoing
Angel Oak Mortgage Trust 2020-6	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2021-3	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2021-5	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2019-3	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Financial Strategies Income Term Trust	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2019-5	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2020-5	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2021-6	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust I LLC 2019-2	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2019-6	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust I LLC 2018-2	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust I LLC 2019-1	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2021-1	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2021-2	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2019-4	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage, Inc.	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Inc	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust LLC	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2022-1	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2022-2	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Angel Oak Mortgage Trust 2022-3	Lawsuits/Fines/Sanctions/Violations of Regulation	Ongoing
Castor SpA	Transparency & Reporting	Ongoing
Infraestructura Energetica Nova SAB de CV	Transparency & Reporting	Ongoing
Fermaca Enterprises S. de R.L. de C.V.	Transparency & Reporting	Ongoing
Qatar Petroleum	Transparency & Reporting	Ongoing
Nomad Foods Limited	Risk Management & Policies	Ongoing
Edison International	Corporate Strategy	Closed: Successful
Edison International	Lawsuits/Fines/Sanctions/Violations of Regulation	Closed: Successful
Georgia, Government of	Public Policy (incl. Industry Groups/Associations)	Ongoing
Georgia, Government of	Public Policy (incl. Industry Groups/Associations)	Ongoing
Elvis U.K. Holdco Ltd	Transparency & Reporting	Ongoing
Bellis Acquisition Company plc	Corporate Strategy	Ongoing
State Oil Company of Azerbaijan Republic	Transparency & Reporting	Ongoing
Banjay Group S.A.S	Corporate Strategy	Ongoing

Governance Continued

Issuer	Topic	Status
EP BCo S.A.	Transparency & Reporting	Ongoing
Boels Topholding BV	Risk Management & Policies	Closed: Successful
American Electric Power Co. Inc.	Corporate Strategy	Closed: Successful
Republic of South Africa Government Bond	Corruption & Bribery	Ongoing
Emera Inc.	Corporate Strategy	Closed: Successful
PPL Corp.	Corporate Strategy	Closed: Successful
FirstEnergy Corp.	Corporate Strategy	Closed: Successful
DTE Energy Company	Strategy, Business Plan & Performance	Closed: Successful
GVC Holdings PLC	Risk Management & Policies	Closed: Successful
Movida Participacoes S.A.	Business Model Resilience	Ongoing
Abu Dhabi Islamic Bank	Whistleblowing Policy	Ongoing
Darling Ingredients Inc.	Appropriate Incentive Structure	Ongoing
BBD Bidco Limited	Transparency & Reporting	Ongoing
Shimano Inc	Female Participation on Boards	Ongoing
Rinnai Corp	Female Participation on Boards	Ongoing
Luxshare Precision Industry Co Ltd	Supply Chain Management—Governance	Ongoing
MTN Group Ltd	Data Privacy/Confidentiality Policy—Governance	Ongoing
Global University Systems B.V.	Transparency & Reporting	Ongoing
Median B.V.	Transparency & Reporting	Ongoing
Service Properties Trust	Conflicts of Interest	Ongoing

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**As of March 31, 2023*

23-2867144