

## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Emerson Electric Co.

**Meeting Date:** 02/02/2021**Country:** USA**Record Date:** 11/24/2020**Meeting Type:** Annual**Ticker:** EMR**Primary ISIN:** US2910111044

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Mark A. Blinn	Mgmt	For	For
1.2	Elect Director Arthur F. Golden	Mgmt	For	For
1.3	Elect Director Candace Kendle	Mgmt	For	For
1.4	Elect Director James S. Turley	Mgmt	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

## Oshkosh Corporation

**Meeting Date:** 02/02/2021**Country:** USA**Record Date:** 12/07/2020**Meeting Type:** Annual**Ticker:** OSK**Primary ISIN:** US6882392011

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Keith J. Allman	Mgmt	For	For
1.2	Elect Director Wilson R. Jones	Mgmt	For	For
1.3	Elect Director Tyrone M. Jordan	Mgmt	For	For
1.4	Elect Director Kimberley Metcalf-Kupres	Mgmt	For	For
1.5	Elect Director Stephen D. Newlin	Mgmt	For	For
1.6	Elect Director Raymond T. Odierno	Mgmt	For	For
1.7	Elect Director Craig P. Omtvedt	Mgmt	For	For
1.8	Elect Director Duncan J. Palmer	Mgmt	For	For
1.9	Elect Director Sandra E. Rowland	Mgmt	For	For
1.10	Elect Director John S. Shiely	Mgmt	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

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## Oshkosh Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Proxy Access Bylaw Amendment	SH	Against	For

## Rockwell Automation, Inc.

**Meeting Date:** 02/02/2021**Country:** USA**Record Date:** 12/07/2020**Meeting Type:** Annual**Ticker:** ROK**Primary ISIN:** US7739031091

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
A1	Elect Director William P. Gipson	Mgmt	For	For
A2	Elect Director J. Phillip Holloman	Mgmt	For	For
A3	Elect Director Steven R. Kalmanson	Mgmt	For	For
A4	Elect Director Lawrence D. Kingsley	Mgmt	For	For
A5	Elect Director Lisa A. Payne	Mgmt	For	For
B	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
C	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

## SNAM SpA

**Meeting Date:** 02/02/2021**Country:** Italy**Record Date:** 01/22/2021**Meeting Type:** Special**Ticker:** SRG**Primary ISIN:** IT0003153415

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Extraordinary Business	Mgmt		
1	Amend Company Bylaws Re: Article 2	Mgmt	For	For
2	Amend Company Bylaws Re: Article 12	Mgmt	For	For
3	Amend Company Bylaws Re: Articles 13 and 24	Mgmt	For	For

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## Accenture plc

<b>Meeting Date:</b> 02/03/2021	<b>Country:</b> Ireland	
<b>Record Date:</b> 12/07/2020	<b>Meeting Type:</b> Annual	<b>Ticker:</b> ACN
<b>Primary ISIN:</b> IE00B4BNMY34		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Jaime Ardila	Mgmt	For	For
1b	Elect Director Herbert Hainer	Mgmt	For	For
1c	Elect Director Nancy McKinstry	Mgmt	For	For
1d	Elect Director Beth E. Mooney	Mgmt	For	For
1e	Elect Director Gilles C. Pelisson	Mgmt	For	For
1f	Elect Director Paula A. Price	Mgmt	For	For
1g	Elect Director Venkata (Murthy) Renduchintala	Mgmt	For	For
1h	Elect Director David Rowland	Mgmt	For	For
1i	Elect Director Arun Sarin	Mgmt	For	For
1j	Elect Director Julie Sweet	Mgmt	For	For
1k	Elect Director Frank K. Tang	Mgmt	For	For
1l	Elect Director Tracey T. Travis	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Renew the Board's Authority to Issue Shares Under Irish Law	Mgmt	For	For
5	Authorize Board to Opt-Out of Statutory Pre-Emption Rights	Mgmt	For	For
6	Determine Price Range for Reissuance of Treasury Shares	Mgmt	For	For

## Anhui Conch Cement Company Limited

<b>Meeting Date:</b> 02/03/2021	<b>Country:</b> China	
<b>Record Date:</b> 01/28/2021	<b>Meeting Type:</b> Special	<b>Ticker:</b> 914
<b>Primary ISIN:</b> CNE1000001W2		

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Anhui Conch Cement Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Elect Zhang Xiaorong as Director	Mgmt	For	For

## Guangdong Haid Group Co., Ltd.

Meeting Date: 02/03/2021

Country: China

Record Date: 01/28/2021

Meeting Type: Special

Ticker: 002311

Primary ISIN: CNE100000HP8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Change in Raised Funds Investment Project	Mgmt	For	For
2	Approve Comprehensive Credit Line Application	Mgmt	For	For
3	Approve External Guarantee	Mgmt	For	For
4	Approve Foreign Exchange Hedging Business	Mgmt	For	For
5	Elect Qian Xueqiao as Non-Independent Director	Mgmt	For	For

## Imperial Brands Plc

Meeting Date: 02/03/2021

Country: United Kingdom

Record Date: 02/01/2021

Meeting Type: Annual

Ticker: IMB

Primary ISIN: GB0004544929

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	Against
3	Approve Remuneration Policy	Mgmt	For	For

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Location(s): All Locations

## Imperial Brands Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve International Sharesave Plan	Mgmt	For	For
5	Approve Long Term Incentive Plan	Mgmt	For	For
6	Approve Deferred Share Bonus Plan	Mgmt	For	For
7	Approve Final Dividend	Mgmt	For	For
8	Elect Stefan Bomhard as Director	Mgmt	For	For
9	Re-elect Susan Clark as Director	Mgmt	For	For
10	Re-elect Therese Esperdy as Director	Mgmt	For	For
11	Elect Alan Johnson as Director	Mgmt	For	For
12	Elect Robert Kunze-Concewitz as Director	Mgmt	For	For
13	Re-elect Simon Langelier as Director	Mgmt	For	For
14	Elect Pierre-Jean Sivignon as Director	Mgmt	For	For
15	Re-elect Steven Stanbrook as Director	Mgmt	For	For
16	Re-elect Jonathan Stanton as Director	Mgmt	For	For
17	Re-elect Oliver Tant as Director	Mgmt	For	For
18	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For
19	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For
20	Authorise EU Political Donations and Expenditure	Mgmt	For	For
21	Authorise Issue of Equity	Mgmt	For	For
22	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
23	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
24	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

## Siemens AG

**Meeting Date:** 02/03/2021**Country:** Germany**Record Date:****Meeting Type:** Annual**Ticker:** SIE**Primary ISIN:** DE0007236101

## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Siemens AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Management Proposals	Mgmt		
1	Receive Financial Statements and Statutory Reports for Fiscal 2019/20 (Non-Voting)	Mgmt		
2	Approve Allocation of Income and Dividends of EUR 3.50 per Share	Mgmt	For	For
3.1	Approve Discharge of Management Board Member Joe Kaeser for Fiscal 2019/20	Mgmt	For	For
3.2	Approve Discharge of Management Board Member Roland Busch for Fiscal 2019/20	Mgmt	For	For
3.3	Approve Discharge of Management Board Member Lisa Davis (until Feb. 29, 2020) for Fiscal 2019/20	Mgmt	For	For
3.4	Approve Discharge of Management Board Member Klaus Helmrich for Fiscal 2019/20	Mgmt	For	For
3.5	Approve Discharge of Management Board Member Janina Kugel (until Jan. 31, 2020) for Fiscal 2019/20	Mgmt	For	For
3.6	Approve Discharge of Management Board Member Cedrik Neike for Fiscal 2019/20	Mgmt	For	For
3.7	Approve Discharge of Management Board Member Michael Sen (until March 31, 2020) for Fiscal 2019/20	Mgmt	For	For
3.8	Approve Discharge of Management Board Member Ralf Thomas for Fiscal 2019/20	Mgmt	For	For
4.1	Approve Discharge of Supervisory Board Member Jim Snabe for Fiscal 2019/20	Mgmt	For	For
4.2	Approve Discharge of Supervisory Board Member Birgit Steinborn for Fiscal 2019/20	Mgmt	For	For
4.3	Approve Discharge of Supervisory Board Member Werner Wenning for Fiscal 2019/20	Mgmt	For	For
4.4	Approve Discharge of Supervisory Board Member Werner Brandt for Fiscal 2019/20	Mgmt	For	For
4.5	Approve Discharge of Supervisory Board Member Michael Diekmann for Fiscal 2019/20	Mgmt	For	For
4.6	Approve Discharge of Supervisory Board Member Andrea Fehrmann for Fiscal 2019/20	Mgmt	For	For
4.7	Approve Discharge of Supervisory Board Member Bettina Haller for Fiscal 2019/20	Mgmt	For	For
4.8	Approve Discharge of Supervisory Board Member Robert Kensbock (until Sep. 25, 2020) for Fiscal 2019/20	Mgmt	For	For

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## Siemens AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4.9	Approve Discharge of Supervisory Board Member Harald Kern for Fiscal 2019/20	Mgmt	For	For
4.10	Approve Discharge of Supervisory Board Member Juergen Kerner for Fiscal 2019/20	Mgmt	For	For
4.11	Approve Discharge of Supervisory Board Member Nicola Leibinger-Kammueler for Fiscal 2019/20	Mgmt	For	For
4.12	Approve Discharge of Supervisory Board Member Benoit Potier for Fiscal 2019/20	Mgmt	For	For
4.13	Approve Discharge of Supervisory Board Member Hagen Reimer for Fiscal 2019/20	Mgmt	For	For
4.14	Approve Discharge of Supervisory Board Member Norbert Reithofer for Fiscal 2019/20	Mgmt	For	For
4.15	Approve Discharge of Supervisory Board Member Nemat Shafik for Fiscal 2019/20	Mgmt	For	For
4.16	Approve Discharge of Supervisory Board Member Nathalie von Siemens for Fiscal 2019/20	Mgmt	For	For
4.17	Approve Discharge of Supervisory Board Member Michael Sigmund for Fiscal 2019/20	Mgmt	For	For
4.18	Approve Discharge of Supervisory Board Member Dorothea Simon for Fiscal 2019/20	Mgmt	For	For
4.19	Approve Discharge of Supervisory Board Member Matthias Zachert for Fiscal 2019/20	Mgmt	For	For
4.20	Approve Discharge of Supervisory Board Member Gunnar Zukunft for Fiscal 2019/20	Mgmt	For	For
5	Ratify Ernst & Young GmbH as Auditors for Fiscal 2020/21	Mgmt	For	For
6.1	Elect Grazia Vittadini to the Supervisory Board	Mgmt	For	For
6.2	Elect Kasper Rorsted to the Supervisory Board	Mgmt	For	For
6.3	Reelect Jim Snabe to the Supervisory Board	Mgmt	For	For
7	Approve Remuneration of Supervisory Board	Mgmt	For	For
8	Approve Creation of EUR 90 Million Pool of Capital for Employee Stock Purchase Plan	Mgmt	For	For
9	Amend Affiliation Agreement with Siemens Bank GmbH	Mgmt	For	For
	Shareholder Proposal Submitted by Verein von Belegschaftsaktionären in der Siemens AG, e.V., Munich	Mgmt		
10	Amend Articles Re: Allow Shareholder Questions during the Virtual Meeting	SH	Against	For

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### Beijing Thunisoft Corp. Ltd.

**Meeting Date:** 02/04/2021**Country:** China**Record Date:** 01/28/2021**Meeting Type:** Special**Ticker:** 300271**Primary ISIN:** CNE000001QM5

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
1.1	Elect Yang Lin as Non-Independent Director	Mgmt	For	For
1.2	Elect Ren Gang as Non-Independent Director	Mgmt	For	For
2	Approve Amendments to Articles of Association	Mgmt	For	For
3	Amend Rules and Procedures Regarding Meetings of Board of Directors	Mgmt	For	For

### China Merchants Property Operation & Service Co., Ltd.

**Meeting Date:** 02/04/2021**Country:** China**Record Date:** 01/28/2021**Meeting Type:** Special**Ticker:** 001914**Primary ISIN:** CNE000000H95

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Loan	Mgmt	For	For
2	Elect Chen Haizhao as Non-Independent Director	SH	For	For

### Compass Group Plc

**Meeting Date:** 02/04/2021**Country:** United Kingdom**Record Date:** 02/02/2021**Meeting Type:** Annual**Ticker:** CPG**Primary ISIN:** GB00BD6K4575



## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Compass Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Policy	Mgmt	For	For
3	Approve Remuneration Report	Mgmt	For	For
4	Elect Ian Meakins as Director	Mgmt	For	For
5	Re-elect Dominic Blakemore as Director	Mgmt	For	For
6	Re-elect Gary Green as Director	Mgmt	For	For
7	Re-elect Karen Witts as Director	Mgmt	For	For
8	Re-elect Carol Arrowsmith as Director	Mgmt	For	For
9	Re-elect John Bason as Director	Mgmt	For	For
10	Re-elect Stefan Bomhard as Director	Mgmt	For	For
11	Re-elect John Bryant as Director	Mgmt	For	For
12	Re-elect Anne-Francoise Nesmes as Director	Mgmt	For	For
13	Re-elect Nelson Silva as Director	Mgmt	For	For
14	Re-elect Ireena Vittal as Director	Mgmt	For	For
15	Reappoint KPMG LLP as Auditors	Mgmt	For	For
16	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For
17	Authorise EU Political Donations and Expenditure	Mgmt	For	For
18	Authorise Issue of Equity	Mgmt	For	For
19	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
20	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
21	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
22	Adopt New Articles of Association	Mgmt	For	For
23	Authorise the Company to Call General Meeting with 14 Working Days' Notice	Mgmt	For	For

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### DCC Plc

**Meeting Date:** 02/04/2021**Country:** Ireland**Record Date:** 02/02/2021**Meeting Type:** Special**Ticker:** DCC**Primary ISIN:** IE0002424939

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For
2	Adopt New Articles of Association	Mgmt	For	For
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For

### The Sage Group Plc

**Meeting Date:** 02/04/2021**Country:** United Kingdom**Record Date:** 02/02/2021**Meeting Type:** Annual**Ticker:** SGE**Primary ISIN:** GB00B8C3BL03

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Approve Final Dividend	Mgmt	For	For
4	Elect Sangeeta Anand as Director	Mgmt	For	For
5	Elect Irana Wasti as Director	Mgmt	For	For
6	Re-elect Sir Donald Brydon as Director	Mgmt	For	For
7	Re-elect Dr John Bates as Director	Mgmt	For	For
8	Re-elect Jonathan Bewes as Director	Mgmt	For	For
9	Re-elect Annette Court as Director	Mgmt	For	For
10	Re-elect Drummond Hall as Director	Mgmt	For	For
11	Re-elect Steve Hare as Director	Mgmt	For	For
12	Re-elect Jonathan Howell as Director	Mgmt	For	For
13	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For

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### The Sage Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
14	Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	For	For
15	Authorise Political Donations and Expenditure	Mgmt	For	For
16	Amend Discretionary Share Plan	Mgmt	For	For
17	Authorise Issue of Equity	Mgmt	For	For
18	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
19	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
20	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
21	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For
22	Adopt New Articles of Association	Mgmt	For	For

### Smurfit Kappa Group Plc

**Meeting Date:** 02/05/2021**Country:** Ireland**Record Date:** 02/03/2021**Meeting Type:** Special**Ticker:** SKG**Primary ISIN:** IE00B1RR8406

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For
2	Adopt New Articles of Association	Mgmt	For	For
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For

### PI Industries Limited

**Meeting Date:** 02/06/2021**Country:** India**Record Date:** 01/29/2021**Meeting Type:** Court**Ticker:** 523642**Primary ISIN:** INE603J01030

## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## PI Industries Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court-Ordered Meeting for Shareholders	Mgmt		
1	Approve Scheme of Amalgamation	Mgmt	For	For

## Bank of Ningbo Co., Ltd.

Meeting Date: 02/08/2021

Country: China

Record Date: 02/03/2021

Meeting Type: Special

Ticker: 002142

Primary ISIN: CNE1000005P7

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Adjustment of Daily Related Party Transaction	Mgmt	For	For
2	Approve Company's Eligibility for Rights Issue	Mgmt	For	For
	APPROVE COMPANY'S RIGHTS ISSUE PLAN	Mgmt		
3.1	Approve Share Type and Par Value	Mgmt	For	For
3.2	Approve Issue Manner and Subscription Method	Mgmt	For	For
3.3	Approve Base, Proportion and Number of Shares	Mgmt	For	For
3.4	Approve Issue Price and Pricing Basis	Mgmt	For	For
3.5	Approve Target Subscribers	Mgmt	For	For
3.6	Approve Distribution Arrangement of Undistributed Earnings	Mgmt	For	For
3.7	Approve Scale and Use of Proceeds	Mgmt	For	For
3.8	Approve Issue Time	Mgmt	For	For
3.9	Approve Underwriting Manner	Mgmt	For	For
3.10	Approve Listing Exchange	Mgmt	For	For
3.11	Approve Resolution Validity Period	Mgmt	For	For
4	Approve Rights Issue	Mgmt	For	For
5	Approve Report on the Usage of Previously Raised Funds	Mgmt	For	For

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### Bank of Ningbo Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Feasibility Analysis Report on the Use of Proceeds	Mgmt	For	For
7	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	Mgmt	For	For
8	Approve Authorization of Board to Handle All Related Matters	Mgmt	For	For

### Huatai Securities Co., Ltd.

**Meeting Date:** 02/08/2021      **Country:** China  
**Record Date:** 02/01/2021      **Meeting Type:** Special      **Ticker:** 6886

**Primary ISIN:** CNE100001YQ9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Elect Ke Xiang as Director	SH	For	For
2	Approve General Mandate for the Domestic and Foreign Debt Financing Instruments	Mgmt	For	For
3	Amend Rules and Procedures Regarding General Meetings of Shareholders	Mgmt	For	For
4	Approve Restricted Share Incentive Scheme of A Shares (Draft) and Its Summary	Mgmt	For	Against
5	Approve Administrative Measures for the Restricted Share Incentive Scheme of A Shares	Mgmt	For	Against
6	Approve Administrative Measures for the Implementation and Appraisal of the Restricted Share Incentive Scheme of A Shares	Mgmt	For	Against
7	Authorize Board to Handle All Matters in Relation to the Restricted Share Incentive Scheme of A Shares	Mgmt	For	Against

### Huatai Securities Co., Ltd.

**Meeting Date:** 02/08/2021      **Country:** China  
**Record Date:** 01/29/2021      **Meeting Type:** Special      **Ticker:** 6886

**Primary ISIN:** CNE100001YQ9

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Location(s): All Locations

## Huatai Securities Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES	Mgmt		
1	Elect Ke Xiang as Director	SH	For	For
2	Approve General Mandate for the Domestic and Foreign Debt Financing Instruments	Mgmt	For	For
3	Amend Rules and Procedures Regarding General Meetings of Shareholders	Mgmt	For	For
4	Approve Restricted Share Incentive Scheme of A Shares (Draft) and Its Summary	Mgmt	For	Against
5	Approve Administrative Measures for the Restricted Share Incentive Scheme of A Shares	Mgmt	For	Against
6	Approve Administrative Measures for the Implementation and Appraisal of the Restricted Share Incentive Scheme of A Shares	Mgmt	For	Against
7	Authorize Board to Handle All Matters in Relation to the Restricted Share Incentive Scheme of A Shares	Mgmt	For	Against

## Merian Glb. Invs. Ser. Plc - Uk Smaller Cos. Focus Fd.

Meeting Date: 02/08/2021

Country: Ireland

Record Date: 02/04/2021

Meeting Type: Special

Ticker: LL3W0Z.F

Primary ISIN: IE00BLP58F76

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Change of Company Name to Jupiter Asset Management Series Public Limited Company	Mgmt	For	For

## CRH Plc

Meeting Date: 02/09/2021

Country: Ireland

Record Date: 02/07/2021

Meeting Type: Special

Ticker: CRH

Primary ISIN: IE0001827041

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## CRH Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For
2	Adopt New Articles of Association	Mgmt	For	For
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For
4	Adopt New Articles of Association Re: Article 51(d)	Mgmt	For	For
5	Approve Capital Reorganisation	Mgmt	For	For
6	Amend Articles of Association	Mgmt	For	For

## Franklin Resources, Inc.

Meeting Date: 02/09/2021

Country: USA

Record Date: 12/11/2020

Meeting Type: Annual

Ticker: BEN

Primary ISIN: US3546131018

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Mariann Byerwalter	Mgmt	For	For
1b	Elect Director Alexander S. Friedman	Mgmt	For	For
1c	Elect Director Gregory E. Johnson	Mgmt	For	For
1d	Elect Director Jennifer M. Johnson	Mgmt	For	For
1e	Elect Director Rupert H. Johnson, Jr.	Mgmt	For	For
1f	Elect Director John Y. Kim	Mgmt	For	For
1g	Elect Director Anthony J. Noto	Mgmt	For	For
1h	Elect Director John W. Thiel	Mgmt	For	For
1i	Elect Director Seth H. Waugh	Mgmt	For	For
1j	Elect Director Geoffrey Y. Yang	Mgmt	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	Against

\* Instances of "Do Not Vote" are normally owing to: - Share-blocking - temporary restriction of trading by the Sub-Custodian following vote submission - Client restrictions - Conflicts of Interest. For any queries, please contact globalcorporateactionsmailbox@barings.com

## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

### Korea Gas Corp.

**Meeting Date:** 02/09/2021      **Country:** South Korea  
**Record Date:** 01/05/2021      **Meeting Type:** Special      **Ticker:** 036460  
**Primary ISIN:** KR7036460004

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Kim Su-i as Outside Director	Mgmt	For	For
1.2	Elect Jeon Sang-heon as Outside Director	Mgmt	For	For
2	Elect Oh Seon-hui as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For
3	Elect Ahn Hong-bok as a Member of Audit Committee	Mgmt	For	For

### Changchun High & New Technology Industries (Group), Inc.

**Meeting Date:** 02/10/2021      **Country:** China  
**Record Date:** 02/03/2021      **Meeting Type:** Special      **Ticker:** 000661  
**Primary ISIN:** CNE000000738

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Liu Yongchuan as Supervisor	Mgmt	For	For

### Siemens Energy AG

**Meeting Date:** 02/10/2021      **Country:** Germany  
**Record Date:**      **Meeting Type:** Annual      **Ticker:** ENR  
**Primary ISIN:** DE000ENER6Y0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019/20 (Non-Voting)	Mgmt		
2	Approve Discharge of Management Board for Fiscal 2019/20	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Siemens Energy AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Discharge of Supervisory Board for Fiscal 2019/20	Mgmt	For	For
4	Ratify Ernst & Young GmbH as Auditors for Fiscal 2020/21	Mgmt	For	For
5.1	Elect Christine Bortenlaenger to the Supervisory Board	Mgmt	For	For
5.2	Elect Sigmar Gabriel to the Supervisory Board	Mgmt	For	For
5.3	Elect Joe Kaeser to the Supervisory Board	Mgmt	For	For
5.4	Elect Hubert Lienhard to the Supervisory Board	Mgmt	For	For
5.5	Elect Hildegard Mueller to the Supervisory Board	Mgmt	For	For
5.6	Elect Laurence Mulliez to the Supervisory Board	Mgmt	For	For
5.7	Elect Matthias Rebellius to the Supervisory Board	Mgmt	For	For
5.8	Elect Ralf Thomas to the Supervisory Board	Mgmt	For	Against
5.9	Elect Geisha Williams to the Supervisory Board	Mgmt	For	For
5.10	Elect Randy Zwirn to the Supervisory Board	Mgmt	For	For
6	Approve Remuneration Policy for the Management Board	Mgmt	For	For
7	Approve Remuneration of Supervisory Board	Mgmt	For	For

## Tesco Plc

Meeting Date: 02/11/2021

Country: United Kingdom

Record Date: 02/09/2021

Meeting Type: Special

Ticker: TSCO

Primary ISIN: GB0008847096

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Special Dividend	Mgmt	For	For
2	Approve Share Consolidation	Mgmt	For	For
3	Authorise Issue of Equity	Mgmt	For	For
4	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Tesco Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
6	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For

## Tyson Foods, Inc.

Meeting Date: 02/11/2021

Country: USA

Record Date: 12/14/2020

Meeting Type: Annual

Ticker: TSN

Primary ISIN: US9024941034

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director John Tyson	Mgmt	For	For
1b	Elect Director Les R. Baledge	Mgmt	For	For
1c	Elect Director Gaurdie E. Banister, Jr.	Mgmt	For	For
1d	Elect Director Dean Banks	Mgmt	For	For
1e	Elect Director Mike Beebe	Mgmt	For	For
1f	Elect Director Maria Claudia Borrás	Mgmt	For	For
1g	Elect Director David J. Bronczek	Mgmt	For	For
1h	Elect Director Mikel A. Durham	Mgmt	For	For
1i	Elect Director Jonathan D. Mariner	Mgmt	For	For
1j	Elect Director Kevin M. McNamara	Mgmt	For	For
1k	Elect Director Cheryl S. Miller	Mgmt	For	For
1l	Elect Director Jeffrey K. Schomburger	Mgmt	For	For
1m	Elect Director Robert Thurber	Mgmt	For	For
1n	Elect Director Barbara A. Tyson	Mgmt	For	For
1o	Elect Director Noel White	Mgmt	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	For
4	Report on Human Rights Due Diligence	SH	Against	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

### Tyson Foods, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Against	For
6	Report on Lobbying Payments and Policy	SH	Against	For

### Adyen NV

<b>Meeting Date:</b> 02/12/2021	<b>Country:</b> Netherlands	
<b>Record Date:</b> 01/15/2021	<b>Meeting Type:</b> Special	<b>Ticker:</b> ADYEN
<b>Primary ISIN:</b> NL0012969182		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Special Meeting Agenda	Mgmt		
1	Open Meeting and Announcements	Mgmt		
2	Elect Alexander Matthey to Management Board	Mgmt	For	For
3	Elect Caoimhe Treasa Keogan to Supervisory Board	Mgmt	For	For
4	Close Meeting	Mgmt		

### Prologis Property Mexico SA de CV

<b>Meeting Date:</b> 02/12/2021	<b>Country:</b> Mexico	
<b>Record Date:</b> 02/04/2021	<b>Meeting Type:</b> Special	<b>Ticker:</b> FIBRAPL14
<b>Primary ISIN:</b> MXCFFI170008		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for Holders of REITs - ISIN MXCFFI170008	Mgmt		
1	Approve to Maintain Leverage Guidelines	Mgmt	For	For
2	Ratify Resolutions Approved by Annual Holder Meeting Held on April 21, 2020	Mgmt	For	For
3	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Victrex Plc

**Meeting Date:** 02/12/2021**Country:** United Kingdom**Record Date:** 02/10/2021**Meeting Type:** Annual**Ticker:** VCT**Primary ISIN:** GB0009292243

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Approve Final Dividend	Mgmt	For	For
4	Re-elect Larry Pentz as Director	Mgmt	For	For
5	Re-elect Jane Toogood as Director	Mgmt	For	For
6	Re-elect Janet Ashdown as Director	Mgmt	For	For
7	Re-elect Brendan Connolly as Director	Mgmt	For	For
8	Re-elect David Thomas as Director	Mgmt	For	For
9	Re-elect Jakob Sigurdsson as Director	Mgmt	For	For
10	Re-elect Dr Martin Court as Director	Mgmt	For	For
11	Re-elect Richard Armitage as Director	Mgmt	For	For
12	Elect Ros Rivaz as Director	Mgmt	For	For
13	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
14	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For
15	Authorise EU Political Donations and Expenditure	Mgmt	For	For
16	Authorise Issue of Equity	Mgmt	For	For
17	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
18	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
19	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
20	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For
21	Adopt New Articles of Association	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Mobile TeleSystems PJSC

**Meeting Date:** 02/15/2021**Country:** Russia**Record Date:** 01/11/2021**Meeting Type:** Special**Ticker:** MTSS**Primary ISIN:** RU0007775219

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1.1	Approve Reorganization via Acquisition of OOO Stv	Mgmt	For	For
1.2	Approve Reorganization via Acquisition of OOO Stream	Mgmt	For	For
1.3	Approve Reorganization via Acquisition of OOO Oblachnyi Riteil	Mgmt	For	For
1.4	Approve Reorganization via Acquisition of OOO Oblachnyi Riteil Plius	Mgmt	For	For
1.5	Approve Reorganization via Acquisition of OOO MKS Balashikha	Mgmt	For	For
1.6	Approve Reorganization via Acquisition of AO NPO Progtekhn	Mgmt	For	For
2.1	Amend Charter in Connection with Reorganization Proposed under Item 1.1	Mgmt	For	For
2.2	Amend Charter in Connection with Reorganization Proposed under Item 1.2	Mgmt	For	For
2.3	Amend Charter in Connection with Reorganization Proposed under Item 1.3	Mgmt	For	For
2.4	Amend Charter in Connection with Reorganization Proposed under Item 1.4	Mgmt	For	For
2.5	Amend Charter in Connection with Reorganization Proposed under Item 1.5	Mgmt	For	For
2.6	Amend Charter in Connection with Reorganization Proposed under Item 1.6	Mgmt	For	For
3.1	Approve Company's Membership in StroySvyazTelecom	Mgmt	For	For
3.2	Approve Company's Membership in ProektSvyazTelecom	Mgmt	For	For
4	Approve New Edition of Regulations on Board of Directors	Mgmt	For	For
5	Approve New Edition of Regulations on Management	Mgmt	For	For
6	Approve New Edition of Regulations on CEO	Mgmt	For	For
7	Approve New Edition of Regulations on Audit Commission	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Srisawad Corporation Public Company Limited

**Meeting Date:** 02/17/2021      **Country:** Thailand  
**Record Date:** 01/29/2021      **Meeting Type:** Special      **Ticker:** SAWAD  
**Primary ISIN:** TH5456010Y00

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Minutes of Previous Meeting	Mgmt	For	For
2	Approve Joint Investment Transaction with Government Savings Bank in Fast Money Co., Ltd.	Mgmt	For	Against
3	Approve Joint Investment Transaction with Noble Development Public Company Limited in SWP Asset Management Co., Ltd.	Mgmt	For	Against
4	Amend Articles of Association	Mgmt	For	Against
5	Other Business	Mgmt	For	Against

## Raymond James Financial, Inc.

**Meeting Date:** 02/18/2021      **Country:** USA  
**Record Date:** 12/21/2020      **Meeting Type:** Annual      **Ticker:** RJF  
**Primary ISIN:** US7547301090

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Charles G. von Arentschildt	Mgmt	For	For
1b	Elect Director Marlene Debel	Mgmt	For	For
1c	Elect Director Robert M. Dutkowsky	Mgmt	For	For
1d	Elect Director Jeffrey N. Edwards	Mgmt	For	For
1e	Elect Director Benjamin C. Esty	Mgmt	For	For
1f	Elect Director Anne Gates	Mgmt	For	For
1g	Elect Director Francis S. Godbold	Mgmt	For	For
1h	Elect Director Thomas A. James	Mgmt	For	For
1i	Elect Director Gordon L. Johnson	Mgmt	For	For
1j	Elect Director Roderick C. McGeary	Mgmt	For	For
1k	Elect Director Paul C. Reilly	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

### Raymond James Financial, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1l	Elect Director Raj Seshadri	Mgmt	For	For
1m	Elect Director Susan N. Story	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For

### OSG Corp. (6136)

<b>Meeting Date:</b> 02/20/2021	<b>Country:</b> Japan		
<b>Record Date:</b> 11/30/2020	<b>Meeting Type:</b> Annual	<b>Ticker:</b> 6136	
<b>Primary ISIN:</b> JP3170800001			

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 11	Mgmt	For	For
2.1	Elect Director Ishikawa, Norio	Mgmt	For	For
2.2	Elect Director Osawa, Nobuaki	Mgmt	For	For
3	Approve Annual Bonus	Mgmt	For	For

### China Tourism Group Duty Free Corp. Ltd.

<b>Meeting Date:</b> 02/22/2021	<b>Country:</b> China		
<b>Record Date:</b> 02/08/2021	<b>Meeting Type:</b> Special	<b>Ticker:</b> 601888	
<b>Primary ISIN:</b> CNE100000G29			

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve to Appoint Auditor	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Apple Inc.

**Meeting Date:** 02/23/2021**Country:** USA**Record Date:** 12/28/2020**Meeting Type:** Annual**Ticker:** AAPL**Primary ISIN:** US0378331005

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director James Bell	Mgmt	For	For
1b	Elect Director Tim Cook	Mgmt	For	For
1c	Elect Director Al Gore	Mgmt	For	For
1d	Elect Director Andrea Jung	Mgmt	For	For
1e	Elect Director Art Levinson	Mgmt	For	For
1f	Elect Director Monica Lozano	Mgmt	For	For
1g	Elect Director Ron Sugar	Mgmt	For	For
1h	Elect Director Sue Wagner	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Proxy Access Amendments	SH	Against	For
5	Improve Principles of Executive Compensation Program	SH	Against	Against

## Guangzhou Jiacheng International Logistics Co., Ltd.

**Meeting Date:** 02/23/2021**Country:** China**Record Date:** 02/08/2021**Meeting Type:** Special**Ticker:** 603535**Primary ISIN:** CNE100002WF4

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Amendments to Articles of Association	Mgmt	For	For
2	Approve External Investment Management System	Mgmt	For	For
3	Amend Related-Party Transaction Management System	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Deere & Company

**Meeting Date:** 02/24/2021**Country:** USA**Record Date:** 12/31/2020**Meeting Type:** Annual**Ticker:** DE**Primary ISIN:** US2441991054

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Tamra A. Erwin	Mgmt	For	For
1b	Elect Director Alan C. Heuberger	Mgmt	For	For
1c	Elect Director Charles O. Holliday, Jr.	Mgmt	For	For
1d	Elect Director Dipak C. Jain	Mgmt	For	For
1e	Elect Director Michael O. Johanns	Mgmt	For	For
1f	Elect Director Clayton M. Jones	Mgmt	For	For
1g	Elect Director John C. May	Mgmt	For	For
1h	Elect Director Gregory R. Page	Mgmt	For	For
1i	Elect Director Sherry M. Smith	Mgmt	For	For
1j	Elect Director Dmitri L. Stockton	Mgmt	For	For
1k	Elect Director Sheila G. Talton	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

## Paragon Banking Group Plc

**Meeting Date:** 02/24/2021**Country:** United Kingdom**Record Date:** 02/22/2021**Meeting Type:** Annual**Ticker:** PAG**Primary ISIN:** GB00B2NGPM57

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Approve Final Dividend	Mgmt	For	For
4	Elect Peter Hill as Director	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Paragon Banking Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Elect Alison Morris as Director	Mgmt	For	For
6	Re-elect Fiona Clutterbuck as Director	Mgmt	For	For
7	Re-elect Nigel Terrington as Director	Mgmt	For	For
8	Re-elect Richard Woodman as Director	Mgmt	For	For
9	Re-elect Barbara Ridpath as Director	Mgmt	For	For
10	Re-elect Hugo Tudor as Director	Mgmt	For	For
11	Re-elect Graeme Yorston as Director	Mgmt	For	For
12	Reappoint KPMG LLP as Auditors	Mgmt	For	For
13	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
14	Authorise EU Political Donations and Expenditure	Mgmt	For	For
15	Authorise Issue of Equity	Mgmt	For	For
16	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
17	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
18	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
19	Authorise Issue of Equity in Relation to Additional Tier 1 Securities	Mgmt	For	For
20	Authorise Issue of Equity without Pre-emptive Rights in Relation to Additional Tier 1 Securities	Mgmt	For	For
21	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For
22	Adopt New Articles of Association	Mgmt	For	For
23	Approve Maximum Ratio of Variable to Fixed Remuneration	Mgmt	For	For

## China Aluminum International Engineering Corporation Limited

Meeting Date: 02/25/2021

Country: China

Record Date: 02/17/2021

Meeting Type: Special

Ticker: 2068

Primary ISIN: CNE100001F78

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## China Aluminum International Engineering Corporation Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
	ELECT DIRECTOR VIA CUMULATIVE VOTING	Mgmt		
1	Elect Liu Jing as Director	Mgmt	For	For

## Giant Network Group Co. Ltd.

Meeting Date: 02/25/2021

Country: China

Record Date: 02/22/2021

Meeting Type: Special

Ticker: 002558

Primary ISIN: CNE1000010R3

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Meng Wei as Non-Independent Director	Mgmt	For	For
2	Elect Tang Min as Supervisor	Mgmt	For	For
3	Approve Draft and Summary on Employee Share Purchase Plan	Mgmt	For	For
4	Approve Management Method of Employee Share Purchase Plan	Mgmt	For	For
5	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	For
6	Amend Independent Director System	Mgmt	For	Against
7	Amend Related Party Transaction Management System	Mgmt	For	Against
8	Amend Donation Management System	Mgmt	For	Against
9	Amend Raised Funds Management System	Mgmt	For	Against
10	Amend Information Disclosure Management System	Mgmt	For	Against

## Infineon Technologies AG

Meeting Date: 02/25/2021

Country: Germany

Record Date:

Meeting Type: Annual

Ticker: IFX

Primary ISIN: DE0006231004

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Infineon Technologies AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2020 (Non-Voting)	Mgmt		
2	Approve Allocation of Income and Dividends of EUR 0.22 per Share	Mgmt	For	For
3.1	Approve Discharge of Management Board Member Reinhard Ploss for Fiscal 2020	Mgmt	For	For
3.2	Approve Discharge of Management Board Member Helmut Gassel for Fiscal 2020	Mgmt	For	For
3.3	Approve Discharge of Management Board Member Jochen Hanebeck for Fiscal 2020	Mgmt	For	For
3.4	Approve Discharge of Management Board Member Sven Schneider for Fiscal 2020	Mgmt	For	For
4.1	Approve Discharge of Supervisory Board Member Wolfgang Eder for Fiscal 2020	Mgmt	For	For
4.2	Approve Discharge of Supervisory Board Member Peter Bauer (until Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For
4.3	Approve Discharge of Supervisory Board Member Xiaoqun Clever (from Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For
4.4	Approve Discharge of Supervisory Board Member Johann Dechant for Fiscal 2020	Mgmt	For	For
4.5	Approve Discharge of Supervisory Board Member Herbert Diess (until Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For
4.6	Approve Discharge of Supervisory Board Member Friedrich Eichiner (from Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For
4.7	Approve Discharge of Supervisory Board Member Annette Engelfried for Fiscal 2020	Mgmt	For	For
4.8	Approve Discharge of Supervisory Board Member Peter Gruber for Fiscal 2020	Mgmt	For	For
4.9	Approve Discharge of Supervisory Board Member Gerhard Hobbach (until Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For
4.10	Approve Discharge of Supervisory Board Member Hans-Ulrich Holdenried for Fiscal 2020	Mgmt	For	For
4.11	Approve Discharge of Supervisory Board Member Renate Koecher (until Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For
4.12	Approve Discharge of Supervisory Board Member Susanne Lachenmann for Fiscal 2020	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Infineon Technologies AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4.13	Approve Discharge of Supervisory Board Member Geraldine Picaud for Fiscal 2020	Mgmt	For	For
4.14	Approve Discharge of Supervisory Board Member Manfred Puffer for Fiscal 2020	Mgmt	For	For
4.15	Approve Discharge of Supervisory Board Member Melanie Riedl (from Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For
4.16	Approve Discharge of Supervisory Board Member Kerstin Schulzendorf for Fiscal 2020	Mgmt	For	For
4.17	Approve Discharge of Supervisory Board Member Juergen Scholz for Fiscal 2020	Mgmt	For	For
4.18	Approve Discharge of Supervisory Board Member Ulrich Spiesshofer (from Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For
4.19	Approve Discharge of Supervisory Board Member Margret Suckale (from Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For
4.20	Approve Discharge of Supervisory Board Member Eckart Suenner (until Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For
4.21	Approve Discharge of Supervisory Board Member Diana Vitale for Fiscal 2020	Mgmt	For	For
5	Ratify KPMG AG as Auditors for Fiscal 2021	Mgmt	For	For
6	Approve Remuneration Policy	Mgmt	For	For
7	Approve Remuneration of Supervisory Board	Mgmt	For	For
8	Approve Creation of EUR 30 Million Pool of Capital for Employee Stock Purchase Plan	Mgmt	For	For
9	Amend Articles Re: Information for Registration in the Share Register	Mgmt	For	For
10	Amend Articles Re: Supervisory Board's Rules of Procedure	Mgmt	For	For

## Shaftesbury Plc

Meeting Date: 02/25/2021

Country: United Kingdom

Record Date: 02/23/2021

Meeting Type: Annual

Ticker: SHB

Primary ISIN: GB0007990962

## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Shaftesbury Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Elect Ruth Anderson as Director	Mgmt	For	For
4	Re-elect Jonathan Nicholls as Director	Mgmt	For	For
5	Re-elect Brian Bickell as Director	Mgmt	For	For
6	Re-elect Simon Quayle as Director	Mgmt	For	For
7	Re-elect Christopher Ward as Director	Mgmt	For	For
8	Re-elect Thomas Welton as Director	Mgmt	For	For
9	Re-elect Richard Akers as Director	Mgmt	For	For
10	Re-elect Jennelle Tilling as Director	Mgmt	For	For
11	Re-elect Sally Walden as Director	Mgmt	For	For
12	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For
13	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
14	Authorise Issue of Equity	Mgmt	For	For
15	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
16	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
17	Authorise Market Purchase of Shares	Mgmt	For	For
18	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

## Zhejiang Sanhua Intelligent Controls Co., Ltd.

Meeting Date: 02/25/2021

Country: China

Record Date: 02/18/2021

Meeting Type: Special

Ticker: 002050

Primary ISIN: CNE000001M22

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

### Zhejiang Sanhua Intelligent Controls Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Pan Yalan as Independent Director	Mgmt	For	For

### Aristocrat Leisure Limited

**Meeting Date:** 02/26/2021**Country:** Australia**Record Date:** 02/24/2021**Meeting Type:** Annual**Ticker:** ALL**Primary ISIN:** AU000000ALL7

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Neil Chatfield as Director	Mgmt	For	For
2	Approve Grant of Performance Share Rights to Trevor Croker	Mgmt	For	Against
3	Approve Remuneration Report	Mgmt	For	For
4	Approve Non-Executive Director Rights Plan	Mgmt	None	For

### Beijing Thunisoft Corp. Ltd.

**Meeting Date:** 02/26/2021**Country:** China**Record Date:** 02/22/2021**Meeting Type:** Special**Ticker:** 300271**Primary ISIN:** CNE000001QM5

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Draft and Summary of Performance Shares Incentive Plan	Mgmt	For	Against
2	Approve Methods to Assess the Performance of Plan Participants	Mgmt	For	Against
3	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	Against

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Lyxor MSCI India UCITS ETF

Meeting Date: 02/26/2021

Country: France

Record Date: 02/24/2021

Meeting Type: Annual

Ticker: INR

Primary ISIN: FR0010361683

**Did Not Vote Due to Ballot Shareblocking**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Ordinary Business	Mgmt		
1	Approve Financial Statements and Statutory Reports	Mgmt	For	Do Not Vote
2	Approve Auditors' Special Report on Related-Party Transactions	Mgmt	For	Do Not Vote
3	Approve Allocation of Income for LYXOR BEL 20 TR (DR) UCITS ETF and Dividends of EUR 0.34 per Share	Mgmt	For	Do Not Vote
4	Approve Treatment of Losses for LYXOR BTP DAILY (2X) LEVERAGED UCITS ETF	Mgmt	For	Do Not Vote
5	Approve Treatment of Losses for LYXOR BUND DAILY (2X) LEVERAGED UCITS ETF	Mgmt	For	Do Not Vote
6	Approve Treatment of Losses for LYXOR BTP DAILY (-2X) INVERSE UCITS ETF	Mgmt	For	Do Not Vote
7	Approve Treatment of Losses for Lyxor 10Y US TREASURY DAILY (-2X) INVERSE UCITS ETF	Mgmt	For	Do Not Vote
8	Approve Allocation of Income for LYXOR FTSE ITALIA PMI PIR 2020 (DR) UCITS ETF and Absence of Dividends	Mgmt	For	Do Not Vote
9	Approve Allocation of Income for LYXOR GERMAN MID-CAP MDAX UCITS ETF and Absence of Dividends	Mgmt	For	Do Not Vote
10	Approve Allocation of Income for Lyxor HWABAO WP MSCI CHINA A (DR) UCITS ETF and Absence of Dividends	Mgmt	For	Do Not Vote
11	Approve Treatment of Losses for LYXOR MSCI WORLD EX EMU UCITS ETF	Mgmt	For	Do Not Vote
12	Approve Allocation of Income for Lyxor DJ GLOBAL TITANS 50 UCITS ETF and Absence of Dividends	Mgmt	For	Do Not Vote
13	Approve Treatment of Losses for Lyxor SMI Daily (-2X) Inverse UCITS ETF	Mgmt	For	Do Not Vote
14	Approve Treatment of Losses for Lyxor Daily SHORT DAX X2 UCITS ETF	Mgmt	For	Do Not Vote
15	Approve Treatment of Losses for Lyxor IBEX 35 Doble Apalancado Diario UCITS ETF	Mgmt	For	Do Not Vote

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Lyxor MSCI India UCITS ETF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
16	Approve Treatment of Losses for Lyxor IBEX 35 Doble Inverso Diario UCITS ETF	Mgmt	For	Do Not Vote
17	Approve Treatment of Losses for Lyxor NASDAQ-100 Daily (2X) Leveraged UCITS ETF	Mgmt	For	Do Not Vote
18	Approve Treatment of Losses for Lyxor PEA PME (DR) UCITS ETF	Mgmt	For	Do Not Vote
19	Approve Treatment of Losses for Lyxor Russell 1000 Growth UCITS ETF	Mgmt	For	Do Not Vote
20	Approve Treatment of Losses for Lyxor Russell 1000 Value UCITS ETF	Mgmt	For	Do Not Vote
21	Approve Allocation of Income for Lyxor CAC 40 Daily (2X) Leveraged UCITS ETF and Absence of Dividends	Mgmt	For	Do Not Vote
22	Approve Treatment of Losses for Lyxor CAC 40 Daily (-2X) Inverse UCITS ETF	Mgmt	For	Do Not Vote
23	Approve Treatment of Losses for LYXOR CAC 40 DAILY (-1X) INVERSE UCITS ETF	Mgmt	For	Do Not Vote
24	Approve Allocation of Income for Lyxor CAC MID 60 UCITS ETF and Absence of Dividends	Mgmt	For	Do Not Vote
25	Approve Treatment of Losses for LYXOR EURO OVERNIGHT RETURN UCITS ETF	Mgmt	For	Do Not Vote
26	Approve Allocation of Income for Lyxor World Water (DR) UCITS ETF and Absence of Dividends	Mgmt	For	Do Not Vote
27	Approve Treatment of Losses for Lyxor EURO STOXX 50 Daily (-2X) Inverse UCITS ETF	Mgmt	For	Do Not Vote
28	Approve Treatment of Losses for Lyxor EURO STOXX 50 Daily (2X) Leveraged UCITS ETF	Mgmt	For	Do Not Vote
29	Approve Treatment of Losses for Lyxor EURO STOXX 50 Daily (-1X) Inverse UCITS ETF	Mgmt	For	Do Not Vote
30	Approve Treatment of Losses for Lyxor FTSE MIB Daily (-2X) Inverse (XBEAR) UCITS ETF	Mgmt	For	Do Not Vote
31	Approve Treatment of Losses for Lyxor FTSE MIB Daily (2X) Leveraged UCITS ETF	Mgmt	For	Do Not Vote
32	Approve Treatment of Losses for Lyxor FTSE MIB Daily (-1X) Inverse (BEAR) UCITS ETF	Mgmt	For	Do Not Vote
33	Approve Treatment of Losses for Lyxor Bund Daily (-2x) Inverse UCITS ETF	Mgmt	For	Do Not Vote
34	Approve Allocation of Income for Lyxor FTSE MIB UCITS ETF and Dividends of EUR 0.33 per Share	Mgmt	For	Do Not Vote
35	Approve Allocation of Income for Lyxor IBEX 35 (DR) UCITS ETF and Dividends of EUR 1.92 per Share	Mgmt	For	Do Not Vote

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Lyxor MSCI India UCITS ETF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
36	Approve Allocation of Income for Lyxor MSCI Europe (DR) UCITS ETF and Dividends of EUR 0.58 per Share	Mgmt	For	Do Not Vote
37	Approve Allocation of Income for Lyxor MSCI USA UCITS ETF and Dividends of EUR 3.94 per Share	Mgmt	For	Do Not Vote
38	Approve Allocation of Income for Lyxor MSCI World UCITS ETF and Dividends of EUR 3.42 per Share	Mgmt	For	Do Not Vote
39	Approve Allocation of Income for Lyxor MSCI Emerging Markets UCITS ETF and Absence of Dividends	Mgmt	For	Do Not Vote
40	Approve Allocation of Income for LYXOR JAPAN (TOPIX) (DR) UCITS ETF and Dividends of JPY 187 per Share	Mgmt	For	Do Not Vote
41	Approve Allocation of Income for LYXOR CAC 40 (DR) UCITS ETF and Absence of Dividends	Mgmt	For	Do Not Vote
42	Approve Allocation of Income for LYXOR EURO STOXX 50 (DR) UCITS ETF and Dividends of EUR 0.32 per Share	Mgmt	For	Do Not Vote
43	Approve Treatment of Losses for LYXOR PEA OBLIGATIONS D ETAT EURO UCITS ETF	Mgmt	For	Do Not Vote
44	Approve Treatment of Losses for Lyxor MSCI GREECE UCITS ETF	Mgmt	For	Do Not Vote
45	Approve Allocation of Income for Lyxor MSCI INDIA UCITS ETF and Absence of Dividends	Mgmt	For	Do Not Vote
46	Approve Allocation of Income for Lyxor NEW ENERGY (DR) UCITS ETF and Absence of Dividends	Mgmt	For	Do Not Vote
47	Approve Treatment of Losses for PLANET MONDE	Mgmt	For	Do Not Vote
48	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	Do Not Vote

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Ordinary Business	Mgmt		
1	Approve Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Auditors' Special Report on Related-Party Transactions	Mgmt	For	Against

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Lyxor MSCI India UCITS ETF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Allocation of Income for LYXOR BEL 20 TR (DR) UCITS ETF and Dividends of EUR 0.34 per Share	Mgmt	For	For
4	Approve Treatment of Losses for LYXOR BTP DAILY (2X) LEVERAGED UCITS ETF	Mgmt	For	For
5	Approve Treatment of Losses for LYXOR BUND DAILY (2X) LEVERAGED UCITS ETF	Mgmt	For	For
6	Approve Treatment of Losses for LYXOR BTP DAILY (-2X) INVERSE UCITS ETF	Mgmt	For	For
7	Approve Treatment of Losses for Lyxor 10Y US TREASURY DAILY (-2X) INVERSE UCITS ETF	Mgmt	For	For
8	Approve Allocation of Income for LYXOR FTSE ITALIA PMI PIR 2020 (DR) UCITS ETF and Absence of Dividends	Mgmt	For	For
9	Approve Allocation of Income for LYXOR GERMAN MID-CAP MDAX UCITS ETF and Absence of Dividends	Mgmt	For	For
10	Approve Allocation of Income for Lyxor HWABAO WP MSCI CHINA A (DR) UCITS ETF and Absence of Dividends	Mgmt	For	For
11	Approve Treatment of Losses for LYXOR MSCI WORLD EX EMU UCITS ETF	Mgmt	For	For
12	Approve Allocation of Income for Lyxor DJ GLOBAL TITANS 50 UCITS ETF and Absence of Dividends	Mgmt	For	For
13	Approve Treatment of Losses for Lyxor SMI Daily (-2X) Inverse UCITS ETF	Mgmt	For	For
14	Approve Treatment of Losses for Lyxor Daily SHORT DAX X2 UCITS ETF	Mgmt	For	For
15	Approve Treatment of Losses for Lyxor IBEX 35 Doble Apalancado Diario UCITS ETF	Mgmt	For	For
16	Approve Treatment of Losses for Lyxor IBEX 35 Doble Inverso Diario UCITS ETF	Mgmt	For	For
17	Approve Treatment of Losses for Lyxor NASDAQ-100 Daily (2X) Leveraged UCITS ETF	Mgmt	For	For
18	Approve Treatment of Losses for Lyxor PEA PME (DR) UCITS ETF	Mgmt	For	For
19	Approve Treatment of Losses for Lyxor Russell 1000 Growth UCITS ETF	Mgmt	For	For
20	Approve Treatment of Losses for Lyxor Russell 1000 Value UCITS ETF	Mgmt	For	For
21	Approve Allocation of Income for Lyxor CAC 40 Daily (2X) Leveraged UCITS ETF and Absence of Dividends	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Lyxor MSCI India UCITS ETF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
22	Approve Treatment of Losses for Lyxor CAC 40 Daily (-2X) Inverse UCITS ETF	Mgmt	For	For
23	Approve Treatment of Losses for LYXOR CAC 40 DAILY (-1X) INVERSE UCITS ETF	Mgmt	For	For
24	Approve Allocation of Income for Lyxor CAC MID 60 UCITS ETF and Absence of Dividends	Mgmt	For	For
25	Approve Treatment of Losses for LYXOR EURO OVERNIGHT RETURN UCITS ETF	Mgmt	For	For
26	Approve Allocation of Income for Lyxor World Water (DR) UCITS ETF and Absence of Dividends	Mgmt	For	For
27	Approve Treatment of Losses for Lyxor EURO STOXX 50 Daily (-2X) Inverse UCITS ETF	Mgmt	For	For
28	Approve Treatment of Losses for Lyxor EURO STOXX 50 Daily (2X) Leveraged UCITS ETF	Mgmt	For	For
29	Approve Treatment of Losses for Lyxor EURO STOXX 50 Daily (-1X) Inverse UCITS ETF	Mgmt	For	For
30	Approve Treatment of Losses for Lyxor FTSE MIB Daily (-2X) Inverse (XBEAR) UCITS ETF	Mgmt	For	For
31	Approve Treatment of Losses for Lyxor FTSE MIB Daily (2X) Leveraged UCITS ETF	Mgmt	For	For
32	Approve Treatment of Losses for Lyxor FTSE MIB Daily (-1X) Inverse (BEAR) UCITS ETF	Mgmt	For	For
33	Approve Treatment of Losses for Lyxor Bund Daily (-2x) Inverse UCITS ETF	Mgmt	For	For
34	Approve Allocation of Income for Lyxor FTSE MIB UCITS ETF and Dividends of EUR 0.33 per Share	Mgmt	For	For
35	Approve Allocation of Income for Lyxor IBEX 35 (DR) UCITS ETF and Dividends of EUR 1.92 per Share	Mgmt	For	For
36	Approve Allocation of Income for Lyxor MSCI Europe (DR) UCITS ETF and Dividends of EUR 0.58 per Share	Mgmt	For	For
37	Approve Allocation of Income for Lyxor MSCI USA UCITS ETF and Dividends of EUR 3.94 per Share	Mgmt	For	For
38	Approve Allocation of Income for Lyxor MSCI World UCITS ETF and Dividends of EUR 3.42 per Share	Mgmt	For	For
39	Approve Allocation of Income for Lyxor MSCI Emerging Markets UCITS ETF and Absence of Dividends	Mgmt	For	For
40	Approve Allocation of Income for LYXOR JAPAN (TOPIX) (DR) UCITS ETF and Dividends of JPY 187 per Share	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Lyxor MSCI India UCITS ETF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
41	Approve Allocation of Income for LYXOR CAC 40 (DR) UCITS ETF and Absence of Dividends	Mgmt	For	For
42	Approve Allocation of Income for LYXOR EURO STOXX 50 (DR) UCITS ETF and Dividends of EUR 0.32 per Share	Mgmt	For	For
43	Approve Treatment of Losses for LYXOR PEA OBLIGATIONS D ETAT EURO UCITS ETF	Mgmt	For	For
44	Approve Treatment of Losses for Lyxor MSCI GREECE UCITS ETF	Mgmt	For	For
45	Approve Allocation of Income for Lyxor MSCI INDIA UCITS ETF and Absence of Dividends	Mgmt	For	For
46	Approve Allocation of Income for Lyxor NEW ENERGY (DR) UCITS ETF and Absence of Dividends	Mgmt	For	For
47	Approve Treatment of Losses for PLANET MONDE	Mgmt	For	For
48	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For

## S&amp;T MOTIV Co., Ltd.

Meeting Date: 02/26/2021

Country: South Korea

Record Date: 12/31/2020

Meeting Type: Annual

Ticker: 064960

Primary ISIN: KR7064960008

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For
2	Amend Articles of Incorporation	Mgmt	For	For
3.1	Elect Kwon Hyeong-soon as Inside Director	Mgmt	For	For
3.2	Elect Park Chang-je as Outside Director	Mgmt	For	For
4	Appoint Cho Han-wook as Internal Auditor	Mgmt	For	For
5	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For
6	Authorize Board to Fix Remuneration of Internal Auditor(s)	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## TC PipeLines, LP

**Meeting Date:** 02/26/2021      **Country:** USA  
**Record Date:** 01/15/2021      **Meeting Type:** Special      **Ticker:** TCP  
**Primary ISIN:** US87233Q1085

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	For

## First Abu Dhabi Bank PJSC

**Meeting Date:** 02/28/2021      **Country:** United Arab Emirates  
**Record Date:** 02/25/2021      **Meeting Type:** Annual      **Ticker:** FAB  
**Primary ISIN:** AEN000101016

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Ordinary Business	Mgmt		
1	Approve Board Report on Company Operations for FY 2020	Mgmt	For	For
2	Approve Auditors' Report on Company Financial Statements for FY 2020	Mgmt	For	For
3	Accept Financial Statements and Statutory Reports for FY 2020	Mgmt	For	For
4	Approve Allocation of Income and Dividends of 74 Percent of Share Capital for FY 2020	Mgmt	For	For
5	Approve Remuneration of Directors	Mgmt	For	For
6	Approve Discharge of Directors for FY 2020	Mgmt	For	For
7	Approve Discharge of Auditors for FY 2020	Mgmt	For	For
8	Ratify Auditors and Fix Their Remuneration for FY 2021	Mgmt	For	For
9	Elect Director	Mgmt	For	Against
10	Approve Shariah Supervisory Board Report and Elect Shariah Supervisory Board Members	Mgmt	For	For
	Extraordinary Business	Mgmt		
10	Approve The Renewal of the Issuing Programs/Islamic Sukuk/Bonds or Other Non-Convertible Securities or Create New Programs Up to USD 10 Billion	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## First Abu Dhabi Bank PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
11	Authorize the Board to Issue Islamic Sukuk/Bonds or Other Non-Convertible Securities, Update or Create New Programs Up to USD 10 Billion	Mgmt	For	For

## Siemens AG

Meeting Date: 02/03/2021

Country: Germany

Record Date:

Meeting Type: Annual

Ticker: SIE

Primary ISIN: DE0007236101

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Management Proposals	Mgmt		
1	Receive Financial Statements and Statutory Reports for Fiscal 2019/20 (Non-Voting)	Mgmt		
2	Approve Allocation of Income and Dividends of EUR 3.50 per Share	Mgmt	For	For
3.1	Approve Discharge of Management Board Member Joe Kaeser for Fiscal 2019/20	Mgmt	For	For
3.2	Approve Discharge of Management Board Member Roland Busch for Fiscal 2019/20	Mgmt	For	For
3.3	Approve Discharge of Management Board Member Lisa Davis (until Feb. 29, 2020) for Fiscal 2019/20	Mgmt	For	For
3.4	Approve Discharge of Management Board Member Klaus Helmrich for Fiscal 2019/20	Mgmt	For	For
3.5	Approve Discharge of Management Board Member Janina Kugel (until Jan. 31, 2020) for Fiscal 2019/20	Mgmt	For	For
3.6	Approve Discharge of Management Board Member Cedrik Neike for Fiscal 2019/20	Mgmt	For	For
3.7	Approve Discharge of Management Board Member Michael Sen (until March 31, 2020) for Fiscal 2019/20	Mgmt	For	For
3.8	Approve Discharge of Management Board Member Ralf Thomas for Fiscal 2019/20	Mgmt	For	For
4.1	Approve Discharge of Supervisory Board Member Jim Snabe for Fiscal 2019/20	Mgmt	For	For
4.2	Approve Discharge of Supervisory Board Member Birgit Steinborn for Fiscal 2019/20	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Siemens AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4.3	Approve Discharge of Supervisory Board Member Werner Wenning for Fiscal 2019/20	Mgmt	For	For
4.4	Approve Discharge of Supervisory Board Member Werner Brandt for Fiscal 2019/20	Mgmt	For	For
4.5	Approve Discharge of Supervisory Board Member Michael Diekmann for Fiscal 2019/20	Mgmt	For	For
4.6	Approve Discharge of Supervisory Board Member Andrea Fehrmann for Fiscal 2019/20	Mgmt	For	For
4.7	Approve Discharge of Supervisory Board Member Bettina Haller for Fiscal 2019/20	Mgmt	For	For
4.8	Approve Discharge of Supervisory Board Member Robert Kensbock (until Sep. 25, 2020) for Fiscal 2019/20	Mgmt	For	For
4.9	Approve Discharge of Supervisory Board Member Harald Kern for Fiscal 2019/20	Mgmt	For	For
4.10	Approve Discharge of Supervisory Board Member Juergen Kerner for Fiscal 2019/20	Mgmt	For	For
4.11	Approve Discharge of Supervisory Board Member Nicola Leibinger-Kammueler for Fiscal 2019/20	Mgmt	For	For
4.12	Approve Discharge of Supervisory Board Member Benoit Potier for Fiscal 2019/20	Mgmt	For	For
4.13	Approve Discharge of Supervisory Board Member Hagen Reimer for Fiscal 2019/20	Mgmt	For	For
4.14	Approve Discharge of Supervisory Board Member Norbert Reithofer for Fiscal 2019/20	Mgmt	For	For
4.15	Approve Discharge of Supervisory Board Member Nemat Shafik for Fiscal 2019/20	Mgmt	For	For
4.16	Approve Discharge of Supervisory Board Member Nathalie von Siemens for Fiscal 2019/20	Mgmt	For	For
4.17	Approve Discharge of Supervisory Board Member Michael Sigmund for Fiscal 2019/20	Mgmt	For	For
4.18	Approve Discharge of Supervisory Board Member Dorothea Simon for Fiscal 2019/20	Mgmt	For	For
4.19	Approve Discharge of Supervisory Board Member Matthias Zachert for Fiscal 2019/20	Mgmt	For	For
4.20	Approve Discharge of Supervisory Board Member Gunnar Zukunft for Fiscal 2019/20	Mgmt	For	For
5	Ratify Ernst & Young GmbH as Auditors for Fiscal 2020/21	Mgmt	For	For
6.1	Elect Grazia Vittadini to the Supervisory Board	Mgmt	For	For
6.2	Elect Kasper Rorsted to the Supervisory Board	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Siemens AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6.3	Reelect Jim Snabe to the Supervisory Board	Mgmt	For	For
7	Approve Remuneration of Supervisory Board	Mgmt	For	For
8	Approve Creation of EUR 90 Million Pool of Capital for Employee Stock Purchase Plan	Mgmt	For	For
9	Amend Affiliation Agreement with Siemens Bank GmbH	Mgmt	For	For
	Shareholder Proposal Submitted by Verein von Belegschaftsaktionären in der Siemens AG, e.V., Munich	Mgmt		
10	Amend Articles Re: Allow Shareholder Questions during the Virtual Meeting	SH	Against	For

## Compass Group Plc

Meeting Date: 02/04/2021

Country: United Kingdom

Record Date: 02/02/2021

Meeting Type: Annual

Ticker: CPG

Primary ISIN: GB00BD6K4575

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Policy	Mgmt	For	For
3	Approve Remuneration Report	Mgmt	For	For
4	Elect Ian Meakins as Director	Mgmt	For	For
5	Re-elect Dominic Blakemore as Director	Mgmt	For	For
6	Re-elect Gary Green as Director	Mgmt	For	For
7	Re-elect Karen Witts as Director	Mgmt	For	For
8	Re-elect Carol Arrowsmith as Director	Mgmt	For	For
9	Re-elect John Bason as Director	Mgmt	For	For
10	Re-elect Stefan Bomhard as Director	Mgmt	For	For
11	Re-elect John Bryant as Director	Mgmt	For	For
12	Re-elect Anne-Francoise Nesmes as Director	Mgmt	For	For
13	Re-elect Nelson Silva as Director	Mgmt	For	For
14	Re-elect Ireena Vittal as Director	Mgmt	For	For

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## Compass Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
15	Reappoint KPMG LLP as Auditors	Mgmt	For	For
16	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For
17	Authorise EU Political Donations and Expenditure	Mgmt	For	For
18	Authorise Issue of Equity	Mgmt	For	For
19	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
20	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
21	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
22	Adopt New Articles of Association	Mgmt	For	For
23	Authorise the Company to Call General Meeting with 14 Working Days' Notice	Mgmt	For	For

## DCC Plc

<b>Meeting Date:</b> 02/04/2021	<b>Country:</b> Ireland	
<b>Record Date:</b> 02/02/2021	<b>Meeting Type:</b> Special	<b>Ticker:</b> DCC
<b>Primary ISIN:</b> IE0002424939		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For
2	Adopt New Articles of Association	Mgmt	For	For
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For

## CRH Plc

<b>Meeting Date:</b> 02/09/2021	<b>Country:</b> Ireland	
<b>Record Date:</b> 02/07/2021	<b>Meeting Type:</b> Special	<b>Ticker:</b> CRH
<b>Primary ISIN:</b> IE0001827041		

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## Vote Summary Report

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

## CRH Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For
2	Adopt New Articles of Association	Mgmt	For	For
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For
4	Adopt New Articles of Association Re: Article 51(d)	Mgmt	For	For
5	Approve Capital Reorganisation	Mgmt	For	For
6	Amend Articles of Association	Mgmt	For	For

## Siemens Energy AG

Meeting Date: 02/10/2021

Country: Germany

Record Date:

Meeting Type: Annual

Ticker: ENR

Primary ISIN: DE000ENER6Y0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019/20 (Non-Voting)	Mgmt		
2	Approve Discharge of Management Board for Fiscal 2019/20	Mgmt	For	For
3	Approve Discharge of Supervisory Board for Fiscal 2019/20	Mgmt	For	For
4	Ratify Ernst & Young GmbH as Auditors for Fiscal 2020/21	Mgmt	For	For
5.1	Elect Christine Bortenlaenger to the Supervisory Board	Mgmt	For	For
5.2	Elect Sigmar Gabriel to the Supervisory Board	Mgmt	For	For
5.3	Elect Joe Kaeser to the Supervisory Board	Mgmt	For	For
5.4	Elect Hubert Lienhard to the Supervisory Board	Mgmt	For	For
5.5	Elect Hildegard Mueller to the Supervisory Board	Mgmt	For	For
5.6	Elect Laurence Mulliez to the Supervisory Board	Mgmt	For	For

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**Vote Summary Report**

Date range covered: 02/01/2021 to 02/28/2021

Location(s): All Locations

**Siemens Energy AG**

<b>Proposal Number</b>	<b>Proposal Text</b>	<b>Proponent</b>	<b>Mgmt Rec</b>	<b>Vote Instruction</b>
5.7	Elect Matthias Rebellius to the Supervisory Board	Mgmt	For	For
5.8	Elect Ralf Thomas to the Supervisory Board	Mgmt	For	Against
5.9	Elect Geisha Williams to the Supervisory Board	Mgmt	For	For
5.10	Elect Randy Zwirn to the Supervisory Board	Mgmt	For	For
6	Approve Remuneration Policy for the Management Board	Mgmt	For	For
7	Approve Remuneration of Supervisory Board	Mgmt	For	For

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