

# Barings Global Multi Asset Income Fund

## Dividend Summary

**BARINGS**

### Important Information

1. The Fund invests primarily in a diversified portfolio of equities, other listed securities, debt securities and cash.
2. The Fund is subject to risks, such as investment, equities and equity-related securities, liquidity, counterparty, valuation, commodity, currency, dynamic asset allocation strategy risks and the risks of investing in emerging markets, debt securities, convertible bonds, investment trusts and real estate investment trusts, and other funds. The Fund's investment in sub-investment grade or unrated debt securities may be subject to higher liquidity, volatility and credit risks than high-rated debt securities.
3. The Fund may invest in derivatives for investment or hedging purposes which may involve counterparty/credit, liquidity, valuation, volatility and over-the-counter transaction risks. Exposure to derivatives may lead to a high risk of significant loss by the Fund.
4. Currency hedged classes use derivatives for hedging purposes and subject to the risks relating to such instruments. RMB hedged classes are subject to RMB currency risks. RMB is currently not freely convertible and conversion of RMB is subject to foreign exchange control policies and restrictions imposed by the Chinese authorities. There can be no assurance that RMB will not be subject to devaluation. In case sizable redemption requests are received, the Manager has the discretion to delay any redemption payment from the RMB classes where there is insufficient RMB for currency conversion.
5. Fees and expenses of the Fund may be paid out of capital which results in distribution effectively paid out of capital. Distribution may also be paid out of capital at the discretion of the Manager. Payment of distribution out of capital amounts to a return or withdrawal of part of an investor's original investment or from any capital gains attributable to that original investment and would result in an immediate reduction in the net asset value of the Fund per unit.
6. Investors may suffer substantial loss of their investments in the Fund.

### Class A HKD Dist

Ex date	Dividend amount (HKD)	Payment date	Ex date NAV (HKD)	Annualised dividend yield
02/12/19	0.0325	09/12/19	8.7992	4.52%
01/11/19	0.0325	08/11/19	8.8572	4.49%
02/10/19	0.0324	10/10/19	8.6801	4.57%
02/09/19	0.0324	09/09/19	8.8093	4.50%
01/08/19	0.0326	08/08/19	8.8233	4.52%
02/07/19	0.0325	09/07/19	8.8471	4.50%
03/06/19	0.0320	11/06/19	8.6903	4.51%
02/05/19	0.0325	09/05/19	8.8070	4.52%
01/04/19	0.0324	09/04/19	8.8376	4.49%
01/03/19	0.0323	08/03/19	8.7854	4.50%
01/02/19	0.0322	13/02/19	8.7458	4.51%
02/01/19	0.0309	09/01/19	8.3910	4.51%

### Class A RMB Hedged Dist<sup>1</sup>

Ex date	Dividend amount (CNH)	Payment date	Ex date NAV (CNH)	Annualised dividend yield
02/12/19	0.0313	09/12/19	7.0279	5.48%
01/11/19	0.0298	08/11/19	7.0692	5.18%
02/10/19	0.0305	10/10/19	6.9233	5.42%
02/09/19	0.0311	09/09/19	7.0273	5.44%
01/08/19	0.0267	08/08/19	7.0552	4.64%
02/07/19	0.0271	09/07/19	7.1008	4.68%
03/06/19	0.0274	11/06/19	6.9445	4.84%
02/05/19	0.0267	09/05/19	7.0328	4.65%
01/04/19	0.0266	09/04/19	7.0524	4.62%
01/03/19	0.0290	08/03/19	7.0147	5.08%
01/02/19	0.0272	13/02/19	6.9938	4.77%
02/01/19	0.0261	09/01/19	6.7229	4.76%

The fund name was changed as of 30.04.2018. The investment objective of the Fund remains unchanged.

Dividend is not guaranteed, subject to Fund manager's discretion. A positive yield does not imply a positive return of the Fund. Annualised Dividend Yield is for reference only.

The amount of dividend payout for the month depends upon the number of units held by the investors as of the record date for that month's distribution and the relevant annualized dividend yield is calculated using the formula:  $[(1 + \text{Distribution per Unit for the month/ex-dividend NAV per unit})^{12}] - 1$ .

<sup>1</sup>Annualised dividend yield is denominated in the currency of the respective share class. US/HK dollar-based investors are therefore exposed to fluctuations in the exchange rate of the relevant currency against US/HK dollar.

### Important Information

This document is not intended as an offer or solicitation for the purchase or sale of any financial instrument. This document has been prepared for informational purposes only and is not intended to provide, and should not be relied on for, accounting, legal or tax advice.

Investment involves risks. Past performance is not indicative of future performance. Please refer to the offering documents for the details of the Fund and all the risk factors. Investors should not only base on this document alone to make investment decisions.

This document is issued by Baring Asset Management (Asia) Limited. It has not been reviewed by the Securities and Futures Commission of Hong Kong.

# Barings Global Multi Asset Income Fund

## Dividend Summary

**BARINGS**

### Important Information

1. The Fund invests primarily in a diversified portfolio of equities, other listed securities, debt securities and cash.
2. The Fund is subject to risks, such as investment, equities and equity-related securities, liquidity, counterparty, valuation, commodity, currency, dynamic asset allocation strategy risks and the risks of investing in emerging markets, debt securities, convertible bonds, investment trusts and real estate investment trusts, and other funds. The Fund's investment in sub-investment grade or unrated debt securities may be subject to higher liquidity, volatility and credit risks than high-rated debt securities.
3. The Fund may invest in derivatives for investment or hedging purposes which may involve counterparty/credit, liquidity, valuation, volatility and over-the-counter transaction risks. Exposure to derivatives may lead to a high risk of significant loss by the Fund.
4. Currency hedged classes use derivatives for hedging purposes and subject to the risks relating to such instruments. RMB hedged classes are subject to RMB currency risks. RMB is currently not freely convertible and conversion of RMB is subject to foreign exchange control policies and restrictions imposed by the Chinese authorities. There can be no assurance that RMB will not be subject to devaluation. In case sizable redemption requests are received, the Manager has the discretion to delay any redemption payment from the RMB classes where there is insufficient RMB for currency conversion.
5. Fees and expenses of the Fund may be paid out of capital which results in distribution effectively paid out of capital. Distribution may also be paid out of capital at the discretion of the Manager. Payment of distribution out of capital amounts to a return or withdrawal of part of an investor's original investment or from any capital gains attributable to that original investment and would result in an immediate reduction in the net asset value of the Fund per unit.
6. Investors may suffer substantial loss of their investments in the Fund.

### Class A US\$ Dist

Ex date	Dividend amount (USD)	Payment date	Ex date NAV (USD)	Annualised dividend yield
02/12/19	0.0041	09/12/19	1.1262	4.46%
01/11/19	0.0041	08/11/19	1.1324	4.53%
02/10/19	0.0041	10/10/19	1.1089	4.53%
02/09/19	0.0041	09/09/19	1.1255	4.46%
01/08/19	0.0041	08/08/19	1.1293	4.44%
02/07/19	0.0041	09/07/19	1.1361	4.42%
03/06/19	0.0040	11/06/19	1.1110	4.41%
02/05/19	0.0041	09/05/19	1.1247	4.46%
01/04/19	0.0041	09/04/19	1.1276	4.45%
01/03/19	0.0041	08/03/19	1.1212	4.48%
01/02/19	0.0041	13/02/19	1.1163	4.50%
02/01/19	0.0039	09/01/19	1.0728	4.45%

The fund name was changed as of 30.04.2018. The investment objective of the Fund remains unchanged.

Dividend is not guaranteed, subject to Fund manager's discretion. A positive yield does not imply a positive return of the Fund. Annualised Dividend Yield is for reference only.

The amount of dividend payout for the month depends upon the number of units held by the investors as of the record date for that month's distribution and the relevant annualized dividend yield is calculated using the formula:  $[(1 + \text{Distribution per Unit for the month} / \text{ex-dividend NAV per unit})^{12} - 1]$ .

### Important Information

This document is not intended as an offer or solicitation for the purchase or sale of any financial instrument. This document has been prepared for informational purposes only and is not intended to provide, and should not be relied on for, accounting, legal or tax advice.

Investment involves risks. Past performance is not indicative of future performance. Please refer to the offering documents for the details of the Fund and all the risk factors. Investors should not only base on this document alone to make investment decisions.

This document is issued by Baring Asset Management (Asia) Limited. It has not been reviewed by the Securities and Futures Commission of Hong Kong.

### 重要事項

1. 本基金主要投資於由股票、其他上市證券、債務證券及現金組成的多元化投資組合。
2. 本基金涉及投資、股票及股票相關證券、流動性、對手方、估值、商品、貨幣、動態資產配置策略風險及投資於新興市場、債務證券、可換股債券、投資信託及房地產投資信託及其他基金所附帶的風險。與較高評級債務證券相比，本基金投資於次投資級別或未獲評級的債務證券可能須承受較高的流動性、波動性及信貸風險。
3. 本基金可投資於衍生工具作投資或對沖用途。與衍生工具相關的風險包括對手方/信貸、流動性、估值、波動性及場外交易等風險。投資於衍生工具可能導致本基金承受重大虧損的高度風險。
4. 貨幣對沖單位類別可運用衍生工具作對沖用途，故須承受有關該等工具的風險。人民幣對沖類別須承受人民幣貨幣風險。人民幣現時並非自由兌換貨幣而人民幣兌換須受中國當局的外匯管制政策和限制所規限。概不保證人民幣不會貶值。在收到大量贖回，而市場未能提供足夠人民幣作兌換的情況下，基金經理可延遲支付人民幣類別贖回。
5. 基金費用及開支可自其資本中支付，這將被視為實際上從資本支付分派。基金經理亦可酌情自資本中支付分派。從資本支付分派相當於退還或提取投資者的部份原有投資或自該原有投資應佔的任何資本收益，並會令本基金的每單位資產淨值即時減少。
6. 投資者投資於本基金可能蒙受重大損失。

### A類別港元分派

除息日	股息 (港元)	派息日	價格 (港元)	年度化派息率
02/12/19	0.0325	09/12/19	8.7992	4.52%
01/11/19	0.0325	08/11/19	8.8572	4.49%
02/10/19	0.0324	10/10/19	8.6801	4.57%
02/09/19	0.0324	09/09/19	8.8093	4.50%
01/08/19	0.0326	08/08/19	8.8233	4.52%
02/07/19	0.0325	09/07/19	8.8471	4.50%
03/06/19	0.0320	11/06/19	8.6903	4.51%
02/05/19	0.0325	09/05/19	8.8070	4.52%
01/04/19	0.0324	09/04/19	8.8376	4.49%
01/03/19	0.0323	08/03/19	8.7854	4.50%
01/02/19	0.0322	13/02/19	8.7458	4.51%
02/01/19	0.0309	09/01/19	8.3910	4.51%

### A類別人民幣對沖分派<sup>1</sup>

除息日	股息 (人民幣)	派息日	價格 (人民幣)	年度化派息率
02/12/19	0.0313	09/12/19	7.0279	5.48%
01/11/19	0.0298	08/11/19	7.0692	5.18%
02/10/19	0.0305	10/10/19	6.9233	5.42%
02/09/19	0.0311	09/09/19	7.0273	5.44%
01/08/19	0.0267	08/08/19	7.0552	4.64%
02/07/19	0.0271	09/07/19	7.1008	4.68%
03/06/19	0.0274	11/06/19	6.9445	4.84%
02/05/19	0.0267	09/05/19	7.0328	4.65%
01/04/19	0.0266	09/04/19	7.0524	4.62%
01/03/19	0.0290	08/03/19	7.0147	5.08%
01/02/19	0.0272	13/02/19	6.9938	4.77%
02/01/19	0.0261	09/01/19	6.7229	4.76%

派息並不獲保證，基金經理保留有關分派的情權。正收益率並不表示本基金將錄得正回報。年度化派息率僅供參考用途。派息金額視乎投資者於截至該月份的分派記錄日期所持單位數目而定。年度化派息率按照下列公式計算： $[(1 + \text{該月份每單位股息} / \text{除息後每單位資產淨值})^{12}] - 1$ 。

<sup>1</sup>年度化派息率以有關的計價貨幣計值及資產淨值計算，總收益用於再投資。因此，以美元/港元作出投資的投資者，需承受有關外幣兌美元/港元兌換率的波動風險。

### 重要資料

本文件並不構成買賣任何金融工具的要約或邀請。本文件僅供資訊用途，不應被視為提供或依賴為會計、法律或稅務建議。

投資涉及風險。過往表現不可作為未來表現的指標。投資者應細閱發售文件所載基金詳情及風險因素。投資者不應僅根據本文件而作出投資決定。

本文件由霸菱資產管理（亞洲）有限公司發行，並未經香港證監會審閱。

### 重要事項

1. 本基金主要投資於由股票、其他上市證券、債務證券及現金組成的多元化投資組合。
2. 本基金涉及投資、股票及股票相關證券、流動性、對手方、估值、商品、貨幣、動態資產配置策略風險及投資於新興市場、債務證券、可換股債券、投資信託及房地產投資信託及其他基金所附帶的風險。與較高評級債務證券相比，本基金投資於次投資級別或未獲評級的債務證券可能須承受較高的流動性、波動性及信貸風險。
3. 本基金可投資於衍生工具作投資或對沖用途。與衍生工具相關的風險包括對手方/信貸、流動性、估值、波動性及場外交易等風險。投資於衍生工具可能導致本基金承受重大虧損的高度風險。
4. 貨幣對沖單位類別可運用衍生工具作對沖用途，故須承受有關該等工具的風險。人民幣對沖類別須承受人民幣貨幣風險。人民幣現時並非自由兌換貨幣而人民幣兌換須受中國當局的外匯管制政策和限制所規限。概不保證人民幣不會貶值。在收到大量贖回，而市場未能提供足夠人民幣作兌換的情況下，基金經理可延遲支付人民幣類別贖回。
5. 基金費用及開支可自其資本中支付，這將被視為實際上從資本支付分派。基金經理亦可酌情自資本中支付分派。從資本支付分派相當於退還或提取投資者的部份原有投資或自該原有投資應佔的任何資本收益，並會令本基金的每單位資產淨值即時減少。
6. 投資者投資於本基金可能蒙受重大損失。

### A類別美元分派

除息日	股息(美元)	派息日	價格(美元)	年度化派息率
02/12/19	0.0041	09/12/19	1.1262	4.46%
01/11/19	0.0041	08/11/19	1.1324	4.53%
02/10/19	0.0041	10/10/19	1.1089	4.53%
02/09/19	0.0041	09/09/19	1.1255	4.46%
01/08/19	0.0041	08/08/19	1.1293	4.44%
02/07/19	0.0041	09/07/19	1.1361	4.42%
03/06/19	0.0040	11/06/19	1.1110	4.41%
02/05/19	0.0041	09/05/19	1.1247	4.46%
01/04/19	0.0041	09/04/19	1.1276	4.45%
01/03/19	0.0041	08/03/19	1.1212	4.48%
01/02/19	0.0041	13/02/19	1.1163	4.50%
02/01/19	0.0039	09/01/19	1.0728	4.45%

派息並不獲保證，基金經理保留有關分派酌情權。正收益率並不表示本基金將錄得正回報。年度化派息率僅供參考用途。

派息金額視乎投資者於截至該月份的分派記錄日期所持單位數目而定。年度化派息率按照下列公式計算： $[(1 + \text{該月份每單位股息} / \text{除息後每單位資產淨值})^{12}] - 1$ 。

### 重要資料

本文件並不構成買賣任何金融工具的要約或邀請。本文件僅供資訊用途，不應被視為提供或依賴為會計、法律或稅務建議。

投資涉及風險。過往表現不可作為未來表現的指標。投資者應細閱發售文件所載基金詳情及風險因素。投資者不應僅根據本文件而作出投資決定。

本文件由霸菱資產管理（亞洲）有限公司發行，並未經香港證監會審閱。